



1 **Impact of environmental changes and land-management** 2 **practices on wheat production in India**

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12 **Abstract.** Spring wheat is a major food crop that is a staple for a large number of people in
13 India and the world. To address the issue of food security, it is essential to understand how
14 productivity of spring wheat changes with changes in environmental conditions and
15 agricultural management practices. The goal of this study is to quantify the role of different
16 environmental factors and management practices on wheat production in India in recent years
17 (1980 to 2016). Elevated atmospheric CO₂ concentration ([CO₂]) and climate change are
18 identified as two major factors that represent changes in the environment. The addition of
19 nitrogen fertilizers and irrigation practices are the two land-management factors considered in
20 this study. To study the effects of these factors on wheat growth and production, we
21 developed crop growth processes for spring wheat in India and implemented them in the
22 Integrated Science Assessment Model (ISAM), a state-of-the-art land model. The model is
23 able to capture site-level observed crop leaf area index (LAI) and country scale production.
24 Numerical experiments are conducted with the model to quantify the effect of each factor on
25 wheat production on a country scale for India. Our results show that elevated [CO₂] levels,
26 water availability through irrigation and nitrogen fertilizers have led to an increase in annual
27 wheat production at 0.68, 0.24 and 0.31 Mt/yr, respectively, averaged over the time period



28 1980-2016. However, elevated temperatures have reduced the total wheat production at a rate
29 of 0.37 Mt/yr during the study period. Overall, the [CO₂], irrigation, fertilizers, and
30 temperature forcings have led to 39%, 15%, 20% and -16% changes in countrywide
31 production, respectively. The magnitudes of these factors spatially vary across the country
32 thereby affecting production at regional scales. Results show that favourable growing season
33 temperatures, moderate to high fertilizer application, high availability of irrigation facilities,
34 and moderate water demand make the Indo-Gangetic plain the most productive region while
35 the arid northwest region is the least productive due to high temperatures and lack of
36 irrigation facilities to meet the high water demand.

37

38 **1 Introduction**

39 Wheat is a major food crop, ranked third in India and fourth in the world in terms of its
40 production (FAOSTAT, 2019). Wheat can be of two main types: winter and spring wheat.
41 Winter wheat undergoes a 30-40 day long vernalization period induced by below-freezing
42 temperatures and hence has a longer growing season of 180-250 days. In contrast, spring
43 wheat, which does not undergo vernalization, has a growing season of 100-130 days (FAO
44 Statistic, 2014). In India, spring wheat is sown during October-November and harvested
45 during February-April (Sacks et al. 2010). It is grown in widely divergent climatic conditions
46 across the country where different environmental factors like temperature, water availability,
47 and [CO₂] may affect growth and yield. Ideally, a daily average temperature range of 20-
48 25°C is ideal for wheat growth (MOA, 2016). Studies have reported heat stress in wheat for
49 temperatures between 25 to 35°C (Deryng et al. 2014) during the grain development stages.
50 Beyond the temperatures of 35°C, wheat fails to survive. High temperatures are terminal to
51 wheat yield specifically in the flowering and grain filling stages during the second half of the
52 growing season (Farooq et al. 2011). Increasing temperature change and heat stress events in



53 the recent decades and their impacts on wheat crop growth processes are extensively studied
54 (Lobell et al. 2012; Asseng et al. 2015; Farooq et al. 2011; Ortiz et al. 2008). Another
55 environmental factor that has been widely studied is the impact of increasing [CO₂]. The
56 resulting CO₂ fertilization effect is found to promote crop growth (Dubey et al., 2015). Apart
57 from environmental factors, management practices including nitrogen fertilizer application
58 and irrigation also significantly affect wheat production (Myers et al. 2017; Luo et al. 2009;
59 Leaky et al. 2009). Because wheat is grown in the non-monsoon months, it is a high irrigation
60 crop with almost 94% of the wheat fields in India equipped for irrigation (MAFW, 2017).
61 Studies that cover the impact of land management practices of irrigation and addition of
62 nitrogen input on crop production aid in giving an overall understanding of the scope of
63 improvement in planting and managing the crop to enhance production (Tack et al. 2017).

64 Even though India is the third largest wheat producer in the world, domestic production is
65 barely sufficient to meet the country's demand for food and livestock feed (USDA, 2018).
66 Data from different sources report a relatively poor yield of wheat in India as compared to
67 other countries (FAOSTAT 2019). Hence, there is an urgent need to address this yield gap by
68 developing better land-management practices under different environmental conditions (Luo
69 et al. 2009; Zhao et al. 2014; Stratonovitch et al. 2015). A key first step to achieve this goal is
70 to understand the processes involved in interactions of the crop with its environment and the
71 factors responsible for impacting crop growth.

72

73 Dynamic Growth Vegetation Models (DGVMs) are well-established tools to study global
74 climate-vegetation systems. Implementation of crop-specific parameterization and processes
75 in DGVMs provides us with a better framework to assess and represent the role of agriculture
76 in climate-vegetation systems (Bondeau et al., 2007, Song et al., 2013). This helps in better
77 estimation of biogeochemical and biogeophysical processes, improves the representation of



78 feedback mechanisms as well as prediction of yield and production. Multiple process-based
79 models with crop-specific representations are being used recently (e.g., Drewniak et al.,
80 2012; Lu et al., 2017; Lokupitiya et al., 2009; Bondaeu et al., 2007; Song et al., 2013) instead
81 of standalone crop-models for this purpose.

82 This study explores the effects of environmental drivers and management practices on spring
83 wheat in India using the land model ISAM (Song et al., 2013 and 2014). The specific
84 objectives of this study are: (1) to implement a dynamic spring wheat growth module in
85 ISAM, and (2) to study the effect of environmental factors (elevated [CO₂] and climate
86 change, including temperature and precipitation change) and land-management practices
87 (irrigation and nitrogen fertilizers) on production of spring wheat in India for the 1980-2016
88 period using ISAM. To the best of our knowledge, this is the first study that evaluates the
89 impacts of multiple environmental factors and land management practices on spring wheat in
90 India at a country level by implementing spring wheat specific processes in a land-surface
91 model.

92 **2. Methods**

93 **2.1 Study design**

94 The study is designed as follows. First, field data on crop physiology is collected at an
95 experimental spring wheat field site. Next, the spring wheat model is developed and
96 implemented in ISAM. The model is run at site-scale for calibration and evaluation with the
97 site data. Next, the model is run for the entire country driven by gridded driver data and
98 evaluated with country-scale wheat production data. Finally, numerical experiments are
99 conducted to estimate the effects of various environmental factors and land-management
100 practices on spring wheat production. Details of each step are described below.

101

102



103 **2.2 Site Data**

104 Field data on spring wheat growth is required to develop, calibrate and evaluate the spring
105 wheat model. Such data is not readily available in the public domain. Hence, a field campaign
106 is conducted during two growing seasons, 2014-15 and 2015-16. Leaf area index (LAI) is
107 measured for 2014-15 and LAI and aboveground biomass at different growth stages are
108 measured for the growing season 2015-16 at a wheat experimental site. The site is located at
109 28°40'N, 77°12'E in the Indian Agricultural Research Institute (IARI) campus in New Delhi,
110 which is a subtropical, semi-arid region. The crop was sown on 18th November 2014 and
111 20th November 2015. It reached physiological maturity on 30th March in both years. The
112 wheat field is irrigated with unlimited amount to ensure that the water stress to the crop is
113 minimal. Mimicking local farming practices, whenever the soil is perceived to be dry, water
114 is added till the top layers are near saturated. These led to 4 irrigation episodes in 2014-15
115 and 5 in 2015-16. Total nitrogen fertilizer of 120kgN/ha is being added to the crop in three
116 batches of 60, 30 and 30 kgN/ha in a span of 60 days from planting day.

117
118 The LAI is measured at the weekly interval with Li-Cor LAI-2000 plant canopy analyzer that
119 measures gap fraction at five zenith angles using hemispherical images from a fisheye
120 camera. LAI is estimated by comparing one above-canopy and three below-canopy
121 measurements. The observed LAI is actually an average of multiple (at least five) LAI
122 observations at different locations in each plot.

123
124 For measuring above ground biomass, plant samples from 50 cm row length are cut just
125 above the soil surface. Then, different plant organs like leaves, stem, and spike (after
126 anthesis) portions of plant sample are separated out. These are initially dried in the shade and
127 later dried at 65°C in an oven for 72 hours till the weight stabilizes. Finally, the weight of
128 dried plant samples were measured using an electric balance. To measure yield, two samples



129 of mature wheat crops are harvested from $1 \times 1 \text{ m}^2$ area in each plot and allowed to air dry.
130 The total weight of grains and straw in each plot is recorded with the help of a spring balance.
131 After thrashing and winnowing by mechanical thrasher, grains are weighed to estimate grain
132 yield and thousand-grain weight.

133

134 **2.3 Model Description**

135 **2.3.1 Dynamic C3 crop model in ISAM**

136 ISAM is a well-established land model that has been used for a wide range of applications
137 (Barman et al. 2014a, 2014b; Gahlot et al. 2017; Song et al. 2013, 2015, 2016). ISAM
138 simulates water, energy, carbon, and nitrogen fluxes at a one-hour time step with $0.5^\circ \times 0.5^\circ$
139 spatial resolution. ISAM has vegetation-specific growth processes for all major plant-
140 functional types implemented in the model to better capture seasonality for each. Song et al.
141 (2013) have developed a soybean and maize model for ISAM. Because soybean and wheat
142 are both C3 crops, the dynamic C3 crop model framework from the soybean model is used as
143 a foundation to build a spring-wheat model for this study. The model structure, phenological
144 stages, carbon and nitrogen allocation processes, parameters and performance are extensively
145 described and evaluated in various studies (Song et al. 2013, 2015, 2016).

146

147 **2.3.2 Development and implementation of spring wheat processes in ISAM**

148 The spring wheat processes in ISAM are implemented using the C3 crop framework (Song et
149 al. 2013). For this purpose, C3 crop specific equations and parameters are update. The model
150 equations are available in Song et al. (2013). A brief description is given in the online
151 supplement and the revised parameters are available in Table S1. Some of the parameter
152 values are collected from literature while the rest are estimated during model calibration.

153



154 ISAM accounts for dynamical planting (Song et al., 2013). This unique feature of ISAM is
155 quite important for modelling wheat in India because in India wheat is grown in different
156 climatic conditions (Ortiz et al. 2008) and in multiple cropping systems. In the rain-
157 dependent, tropical central parts of India, wheat is planted early; in eastern parts of India
158 where rice is harvested before the wheat is planted on the same field, wheat is planted late;
159 and timely sown in the northern and western parts of India (Table S2). ISAM uses different
160 conditions based on a 7-day average of air temperature and 30-day total precipitation to
161 dynamically calculate the planting day. Observed wheat planting and harvest dates (Sacks et
162 al. 2010) are used to calibrate the planting time and harvest time criteria in the model along
163 with other state-level and regional datasets (NFSM). This allows for correct simulation of the
164 observed spatial variability of the planting date.

165

166 The heat stress effect is implement to account for the observed negative effects of high
167 temperatures on grains (Asseng et al. 2015; Farooq et al. 2011) during the reproductive stage
168 of the phenology (Zhao et al. 2007). To include these effects, net carbon available for
169 allocation to grains decreases as daily average temperatures increase from 25° to 35°C in the
170 flowering and grain filling stages (Table S3, Eq. A1-A3). This limits the growth of a plant.
171 Beyond daily average temperatures of 35°C, the grains fail to develop.

172

173 **2.4 Site-scale simulations for calibration and validation**

174 The spring wheat model is calibrated at site level using LAI and aboveground biomass data
175 collected at the IARI site for the 2015-16 growing season using the protocol described in
176 Song et al. (2013) and validated using LAI data for the 2014-15 growing season. ISAM can
177 be configured to run for a single point. Using this capability, ISAM is run at site-scale to
178 simulate spring wheat growth observed at the IARI site. The model is spun-up by recycling
179 the climate driver, [CO₂] (Meinshausen et al., 2011) and airborne nitrogen deposition



180 (Lamarque et al. 2011) data for 2015-16 until the soil temperature, soil moisture, the soil
181 carbon pool and the soil nitrogen pool reaches a steady state. Then, the above ground biomass
182 carbon (leaves + stem + grain) is calibrated using aboveground biomass (Fig. 1a), nitrogen
183 fertilizer amount added, sowing date and harvest date for the 2015-16 growing season. Next,
184 phenology-dependent carbon allocation fractions for leaves, stem, and grain are calibrated,
185 using the LAI data (Fig. 1b), duration, and heat unit index requirement for each growth stage.
186 The model is evaluated by comparing simulated and observed LAI for the 2014-15 growing
187 season.

188

189 **2.5 Gridded Data for country-scale simulations**

190 Driver data for environmental and anthropogenic forcings are required to conduct ISAM
191 simulations. ISAM is driven by $0.5^{\circ}\times 0.5^{\circ}$ climate data from Climate Research Unit (CRU)-
192 National Centre for Environment Prediction reanalysis (Viovy et al. 2018) with 6-hourly
193 mean surface air temperature, specific humidity, incoming shortwave and long-wave
194 radiations, wind speed and precipitation that are interpolated to hourly values. Annual $[\text{CO}_2]$
195 data is taken from the Global Carbon Project Budget 2017 (Le Quéré et al. 2017). Spatially-
196 explicit annual nitrogen fertilizer data for wheat from 1901-2005 is created by combining
197 nitrogen fertilizer data from Ren et al. 2015 and Mueller et al. 2012 (Table S3: Eq. A4-A5).

198

199 Gridded data for the wheat harvested area, N application, and irrigation are required as model
200 input to estimate actual wheat production for India in recent years (1980-2016). For this
201 purpose, an annual spatially-explicit gridded wheat harvested area dataset for India is created
202 by combining spatially-explicit wheat area from Monfreda et al. (2008) for the mean value
203 over the time-period 1997-2003 (ca 2000) and non-gridded state-specific annual wheat
204 harvested area from the Directorate of Economics and Statistics, Ministry of Agriculture And
205 Farmers Welfare, India (MAFW, 2017) (Eq. A6, A7, A8). Annual Area Equipped for



206 Irrigation (AEI) dataset is created by linear-interpolation of decadal data from Siebert et al.
207 (2015) (Eq. A9).

208

209 **2.6 Country-scale simulations**

210 Country-scale simulations are conducted after model calibration and evaluation. First, we
211 spin up the model for the year 1901 by repeating the climate forcing data of CRU-NCEP
212 (Harris et al., 2014; Viovy, 2016) for the period 1901-1920, and fixed year (1901) data for
213 [CO₂] of 296.8 ppm (Meinshausen et al., 2011) and data for airborne nitrogen deposition
214 (Lamarque et al. 2011), and zero amount of nitrogen fertilizer and irrigation, until the soil
215 temperature, soil moisture and the soil carbon and nitrogen pools reach a steady state at
216 approximately 1901 levels. Details of the spin-up process are described in detail in Gahlot et
217 al. 2017. After the model spin-up, numerical experiments are conducted as transient runs
218 from 1901 to 2016. To estimate the effects of external forcings, country-scale runs are
219 conducted over wheat-growing regions in India by varying different input forcings (Table 1).
220 Control run (S_{CON}) represents the model run from 1901 to 2016 with time-varying annual
221 [CO₂], climate data, annual grid-specific nitrogen fertilizer, and full irrigation to fulfil the
222 water needs of the crop. Four additional simulations are conducted by assigning a constant
223 value to each input forcing one at a time. For instance, in S_{CO_2} , all input variables
224 (temperature, nitrogen, and irrigation) are the same as in the S_{CON} case except [CO₂] that is
225 held constant at 1901 level. The difference in model simulations from S_{CON} and S_{CO_2} then
226 gives the effect of elevated [CO₂] on wheat crop growth processes. Here we present the
227 results only for the recent decades (1980 to 2016).

228

229 Model performance at the country-scale is evaluated by comparing the model simulated total
230 wheat production at the country level with FAOSTAT 2019 and the Directorate of
231 Economics and Statistics, Ministry of Agriculture And Farmers Welfare (MAFW, 2017) data.



232 The production for each grid cell is an area-weighted sum of production from irrigated and
233 rainfed area fractions (Equation A10).

234

235 To study the spatial variation in production, the wheat-growing regions of India are divided
236 into spring wheat environments (SWE) based on the mega-environment concept (Chowdhury
237 et al., 2019). For this purpose, we divide the wheat-growing regions of India into 5 SWEs
238 (Fig 2) based on temperature, precipitation, and area equipped for irrigation (Table 2).

239

240 **3. Results**

241 **3.1 Spring wheat model evaluation**

242 The simulated magnitude and intra-seasonal variability in LAI for 2014-2016 compared well
243 with the experimental wheat site at IARI, New Delhi (Fig. 1c).

244

245 Spatial distribution of model estimated wheat production at a country scale is compared well,
246 including the highly productive Indo-Gangetic plains, with the data from Monfreda et al.
247 2008 for the year 2000 (Fig. 3). ISAM simulated country scale wheat production for 1980-
248 2014 also compares well with production data from FAOSTAT (2019) and MAFW (2017)
249 datasets (Fig. 4) with correlation coefficients of 0.92 and 0.91 respectively with the two
250 datasets. However, the model estimated production is slightly higher than both observed
251 datasets. This may be attributed to the fact that the model is calibrated to the high-yielding
252 wheat cultivars grown in recent years (2015-16). Hence, the model is a valid tool to study
253 interactions of wheat with its environment for recent years.

254

255 **3.2 Effects of environmental and anthropogenic forcings at country scale**

256 In this study, we examine the effects of 2 environmental factors ($[\text{CO}_2]$ and temperature
257 change) and 2 land management practices (nitrogen fertilizer and water available) on the



258 production of spring wheat. The impact of these factors is quantified as the difference
259 between the control and the experimental simulations (Eq. A11) described in Table 1. Results
260 show that during the 1980-2016 period, $[\text{CO}_2]$, nitrogen fertilizers and water available
261 through irrigation have a positive impact on wheat production but the impact of temperature
262 is negative (Fig. 5) due to reasons detailed below. The effects of $[\text{CO}_2]$, temperature change,
263 addition of nitrogen fertilizers and irrigation show a trend of 0.68, -0.37, 0.31 and 0.24Mt/yr
264 over the period 1980-2016, respectively (Table 3).

265
266 CO_2 fertilization is the most dominant factor that has contributed to increase in wheat
267 production over India. Annual average $[\text{CO}_2]$ worldwide has increased from 337.7 ppm in
268 1980 to 404.3 ppm in 2016. This increase in levels of $[\text{CO}_2]$ at the rate of 1.82 ppm/yr has
269 promoted growth in wheat as elevated $[\text{CO}_2]$ levels are known to enhance photosynthetic CO_2
270 fixation and have a positive impact on most C3 plants (Allen et al. 1996; Leakey et al. 2009;
271 Myers et al. 2017). Our results show that for every ppm rise in $[\text{CO}_2]$ level total wheat
272 production of the country has increased by 0.37 Mt (Fig. 6a; Table 3). This amounts to an
273 approximate 39% increase in production compared to the 1980-84 period due to increased
274 $[\text{CO}_2]$ levels. A positive correlation coefficient of 0.93 between annual wheat production and
275 annual CO_2 concentration confirms a positive impact of CO_2 on wheat production. Other
276 studies based on multiple approaches including experiments have also shown an increase in
277 yield and growth of C3 crops under high $[\text{CO}_2]$ conditions (Leakey et al. 2009; Dubey et al.
278 2015).

279
280 Nitrogen fertilizers are added to the farmland to reduce nutrient stress to the crop. The use of
281 nitrogen fertilizers is important in the Indian context due to 2 reasons. First, India is a tropical
282 country where higher temperatures and precipitation cause loss of nitrogen from the soil due
283 to denitrification. Second, crop nitrogen demand is high because multiple cropping is widely



284 practiced. The average amount of nitrogen fertilizer added per unit area shows a positive
285 trend of 2.66kgN/ha/yr during 1980-2016. This implies an increase in total wheat production
286 at the rate of 0.10Mt for every kgN/ha added to the farm (Fig. 6c; Table 3). This amounts to
287 an approximate 20% increase in production compared to the 1980-84 period due to increased
288 fertilizer application.

289

290 Irrigation is a key factor for spring wheat in India where 93.6% of the wheat area is equipped
291 for irrigation (MAFW 2017), most of irrigated area being concentrated in the Indo-Gangetic
292 Plains. Unfortunately, data on the actual amount of water used for irrigation water is not
293 available. Hence, in the S_{CON} simulation, we consider every grid cell is 100% irrigated so that
294 the crops do not undergo water stress at any point in the growing season. This is to say that
295 irrigation water required in the model is dependent on water demand of the crop. With this
296 condition, our results show that with all the regions 100% irrigated, wheat production shows
297 a positive trend during 1980-2016. Overall, there is an approximate 15% increase in
298 production compared to the 1980-84 period due to increased irrigation.

299

300 The average air temperature for the wheat-growing season months (October-March) during
301 the study period (1980 to 2016) has shown an increase at the rate of 0.026°C/yr. Higher
302 temperature during second half of the growing season is specifically known to produce
303 smaller grains and low grain numbers (Stratonovitch et al. 2015; Deryng et al. 2014). Our
304 results have shown a decrease of 8.38 Mt (~10% reduction) of wheat per degree Celsius
305 increase in average growing season temperature (Fig. 6b). This is higher than the global
306 estimate of 6% reduction per degree Celsius rise in mean temperature (Asseng et al. 2015).
307 Studies have reported that wheat-growing regions in low-latitudes are more susceptible to
308 rising temperatures (Tack et al. 2017; Rosenzweig et al. 2014) since optimum temperatures in
309 these regions have already been reached. Overall, there is an approximate 16% reduction in



310 production compared to the 1980-84 period due to rise in average growing season
311 temperatures.

312

313 In the presence of all input forcings (S_{CON}), the trend of wheat production in India remains
314 positive at 1.17 Mt/year from 1980 to 2016.

315

316 **3.3 Effect of environmental and anthropogenic forcings at the regional scale**

317 It is clear that environmental and management factors significantly affect wheat production at
318 a country scale. It is important to understand how these factors can affect production for
319 different regions. For this purpose, the results of the control simulation (S_{CON}) with all the
320 forcings are analysed for each of the SWEs shown in Fig. 2. A SWE is representative of
321 similar climatic and environmental conditions regionally in which wheat is grown. One SWE
322 differs from the other in terms of different temperature range, precipitation received and
323 irrigation availability. The S_{CON} case is analysed to ensure that the input factors are fully
324 implemented in the model-estimated production and their effect can be studied effectively.
325 One important thing to note is that irrigation in the model is calculated as the excess water
326 demand required by the crop to grow in no-water-stress conditions. Hence, the
327 S_{CON} calculates irrigation as the ideal case scenario assuming that all the water demand of the
328 crop is met. Overall, this analysis will identify the factors (environmental conditions and land
329 management practices) that predominantly drive the wheat production range in a given SWE.

330

331 The results of this regional analysis are presented in Fig. 7 showing scatterplots of production
332 as a function of various drivers for each wheat-growing grid cell in the model. A similar plot
333 showing the relationship between production, AEI and wheat area is presented in Fig. 8.
334 Together, these two figures allow us to understand how different environmental factors and



335 management practices can affect production in different SWEs. Atmospheric CO₂ is omitted
336 from this analysis because it is assumed to spatially uniform.

337

338 The Indo-Gangetic plain region (SWE1) is the best-suited environment for growing spring
339 wheat in India due to favourable growing season temperatures (Fig. 7a), moderate to high
340 fertilizer application (Fig. 7b), high availability of irrigation facilities (Fig. 8b), and moderate
341 water demand (Fig. 7c). Hence, SWE1 is the major contributor to the annual total wheat
342 production of India. Low temperatures (Fig. 7a) in the Himalayan foothills region (SWE2)
343 result in the limited production of wheat in this region. High-rainfall in growing season
344 months is helpful and hence, limited amount of water is required for irrigation (Fig. 7c) in
345 this area. The arid north-western India region (SWE3) is very low in production due to the
346 high temperatures (Fig. 7a) coupled with lack of irrigation facilities (Fig. 8b) needed to
347 mitigate the high water demand created by low precipitation. SWE4 in the central and north-
348 eastern India is also low in production due to high temperatures during growing season (Fig.
349 7a) even though the water demand is low (Fig. 7c) due to moderate rainfall. SWE5 areas in
350 the south-central India have limited wheat production because of limited irrigation facilities
351 (Fig. 8b) despite favourable temperature conditions.

352

353 Wheat production is directly proportional to area on which wheat is cultivated in a given
354 region/SWE (Fig. 8a). Fig 8b shows that wheat production is, in fact, positively correlated to
355 AEI at the grid level. Since production in this analysis is derived from the S_{CON} case and no
356 AEI data is used in its calculation, it is interesting to see such a strong correlation between
357 wheat production and AEI at grid level that are two independent datasets. This can be
358 explained by Fig. 8c that clearly indicates that availability of irrigation (high AEI) is a major
359 factor that drives area on which wheat is cultivated in a grid cell. Wheat, being a non-
360 monsoon crop, is highly dependent on availability of irrigation in a region. For regions with



361 high growing season temperatures, additional water stress is induced in the crop along with
362 heat stress that limits crop production. Hence, availability of favourable temperatures is
363 crucial to ideal growing conditions for wheat. If irrigation can be made available in these
364 regions, like in SWE 5, wheat cultivation area and wheat production can significantly grow in
365 the years to come.

366

367 **4. Conclusions and Discussions**

368 This study explores the effects of environmental drivers and management practices on spring
369 wheat in India using the land model ISAM. For this purpose, we build a dynamic spring
370 wheat growth processes for ISAM where (i) we parameterize and calibrate the equations in
371 the C3 crop model framework available in ISAM, (ii) develop new equations for dynamic
372 planting time and heat stress, (iii) collect field data to calibrate and evaluate the model at site
373 scale and (iv) develop gridded datasets of wheat cultivated area, irrigation and nitrogen
374 fertilizer data to conduct country-scale simulations. The model is able to simulate the spatio-
375 temporal pattern of spring wheat production at the country-scale. This evaluation implies that
376 the model can serve as a simulation tool to conduct numerical experiments to understand the
377 behaviour of spring wheat.

378

379 In order to quantitatively study the role of environmental and anthropogenic factors, we
380 conducted a series of numerical experiments by switching off one factor at a time. Results
381 show that the increase in CO₂ has a positive impact on wheat production due to the CO₂
382 fertilization effect. Atmospheric CO₂ concentration has increased at 1.82 ppm/yr and
383 production has increased at a rate of 0.37 Mt per ppm rise in [CO₂] since the 1980s that
384 translates to a 39% increase in countrywide production. This is consistent with observational
385 studies such as Kimball (2016) that show an increase in yield of C3 grain crops due to
386 elevated [CO₂].



387

388 Application of nitrogen fertilizer has increased at 2.66 kgN/ha/yr leading to increased
389 production of spring wheat at the rate of 0.10Mt for every kgN/ha added that is equivalent to
390 a 20% increase in countrywide production. Nitrogen deficiency is very high in India because
391 of high consumption due to multiple cropping and nitrogen loss due to denitrification of the
392 soil aided by the tropical climate. Nitrogen fertilizer contributes to increased production by
393 mitigating this nutrient deficiency.

394

395 Our model results suggest irrigation increase could have led to an increase in production of
396 spring wheat at a rate of 0.44 Mt per 1000 mm of water added implying a 15% increase in
397 countrywide production. Irrigation appears to be the most important factor controlling
398 production across all the spring wheat environments. We note here that in our experiments
399 irrigation is equivalent to ‘no water stress’. This approach seems to be the best option because
400 data on actual water use in irrigation is not available. In grid cells that are equipped for
401 irrigation, we set the water stress term to zero. In reality, water stress may not go to zero in
402 some areas where water or power availability is limited. In these areas, the model
403 underestimates the simulated effect of irrigation on productivity.

404

405 Average growing season temperatures have increased by 0.026 °C/yr leading to a
406 productivity loss of 8.38 Mt (~10%) per degree Celsius rise in temperature that is equivalent
407 to a 16% decrease in countrywide production. Crop heat stress is a major reason behind this
408 loss. The optimum temperature for wheat is 25°C in the reproductive stage. Heat stress effect
409 triggers in the model when the canopy air temperature higher than 25°C and lesser than 35°C
410 reduce grain filling and negatively impact the growth of storage organs. The observed 10%
411 reduction rate in production is higher than the global average of 6% (Asseng et al. 2015)



412 because the growing season temperatures in India are already near the upper limit of the
413 optimal range.

414

415 The regional-scale analysis shows that the SWE1 is the best environment for growing spring
416 wheat in India due to favorable growing season temperatures, moderate water demand, and
417 availability of irrigation facilities. Hence, this region is the main contributor to the annual
418 total wheat production of India. Northwestern India (SWE3) covering the states of Rajasthan
419 and Gujarat is the least productive region due to high growing season temperatures coupled
420 with a lack of irrigation facilities needed to mitigate the high water demand created by low
421 precipitation. Studies have concluded that in order to improve and represent crop growth
422 processes in the models and to increase certainty in model-based assessments, there is a need
423 for more focused regional-scale studies (Koehler et al. 2013; Maiorano et al. 2017). This
424 study is an attempt to work in similar direction with focus on wheat in India.

425

426 Apart from advancing our understanding of spring wheat growth processes, the crop model
427 can also contribute to real-world decision-making. For example, our results show that wheat
428 production in India has steadily increased at a rate of 1.17 Mt/year from 1980 to 2016. This
429 implies that the negative effect of rising temperatures was offset by positive contributions
430 from other drivers. Our model can be used to conduct experiments to identify optimal
431 solutions to future scenarios. Furthermore, it will likely provide better estimates of terrestrial
432 carbon fluxes.

433

434 There is scope for improving the crop model and the modelling framework. The processes
435 involved in CO₂ fertilization need improvement to match the FACE studies. The addition of
436 new processes accounting for the effects of pests and multiple cropping will make the
437 simulations more representative of the Indian situation. Better data will also improve the



438 fidelity of the simulations. A key bottleneck in simulating crop growth at regional-to-global
439 scales is the lack of irrigation water use datasets. To the best of our knowledge, large-scale
440 observation-based datasets of water used in irrigation do not exist even though there are
441 numerous datasets for irrigated areas and areas equipped for irrigation (e.g., Zohaib et al.,
442 2019). The development of irrigation water use datasets will reduce the uncertainty in
443 simulating the effect of water stress on crop production. Equipped with these improvements,
444 ISAM can become an indispensable tool for informing policy on food security and climate
445 change adaptation.

446
447 **Code and data availability**

448 ISAM model code is available upon request.

449
450 **Electronic supplement**

451 Electronic supplement has been submitted separately.

452
453 **Author contribution**

454 SG, AKJ and SBR conceptualized the study; SG, TSL and AKJ designed the numerical
455 experiments and generated the input datasets; SG conducted the numerical experiments and
456 analyzed the outputs; VKS and RD collected the field observations; SG, AKJ and SBR wrote
457 the paper.

458
459 **Competing interests**

460 The authors declare no competing interests.



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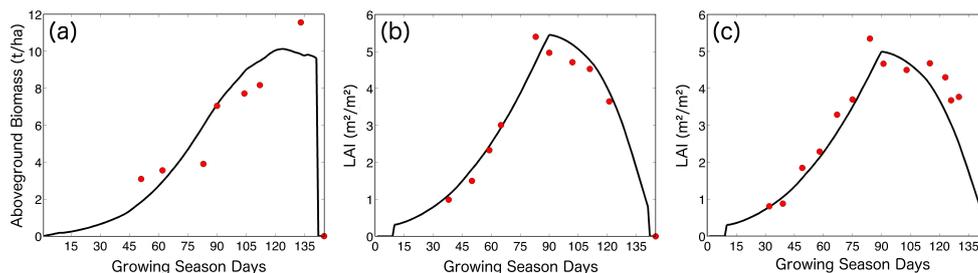


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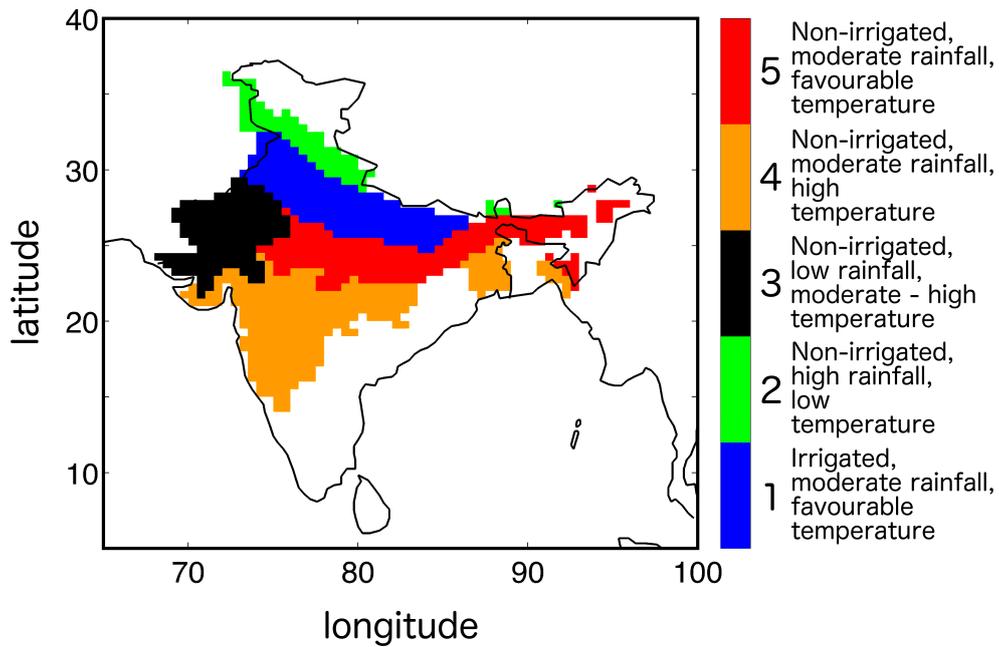
Figures and Tables



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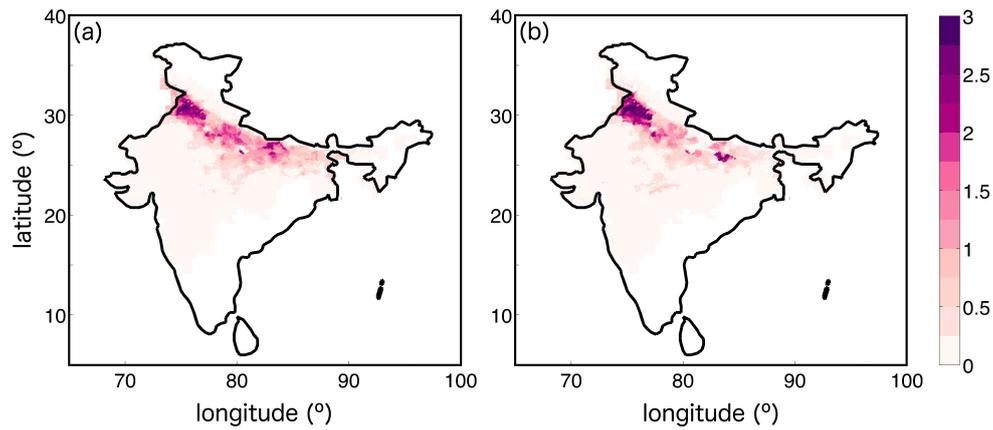
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608 Figure 1: Model calibration and validation plots for the experimental wheat site at IARI, New
609 Delhi. (a) Model calibration for aboveground biomass for growing season 2015-16. (b)
610 Model calibration for LAI for growing season 2015-16. (c) The model estimated LAI
611 validated with site-measured data for growing season 2014-15. The red dots are site-
612 measured values and the black lines are ISAM simulated values.



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Figure 2: Classification of wheat growing areas into spring wheat environments (SWE) in India.



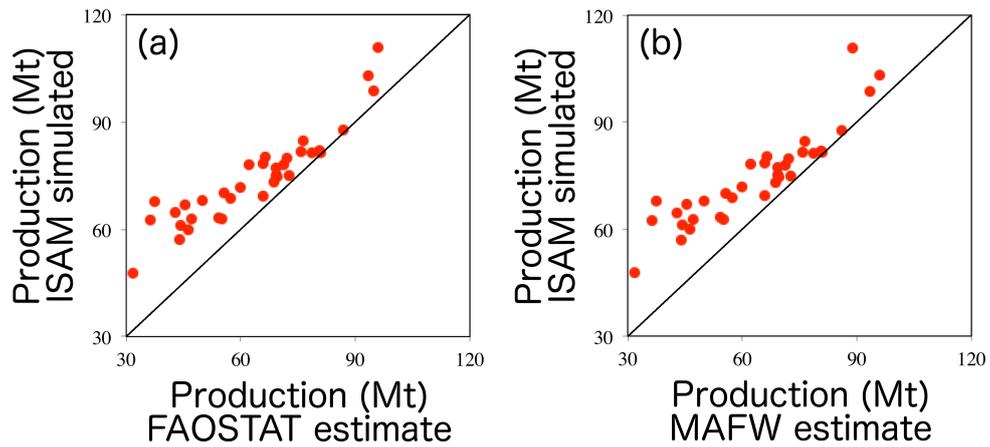
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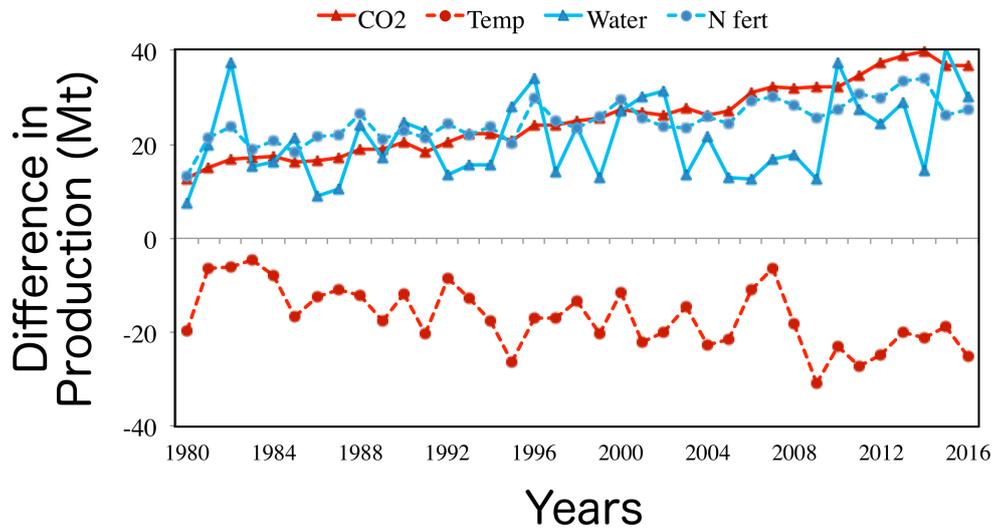
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Figure 3: Wheat production (X 10⁴ tonnes) averaged for 1997-2003 (a) simulated by ISAM and (b) observed M3 dataset (Monfreda et al. 2008).



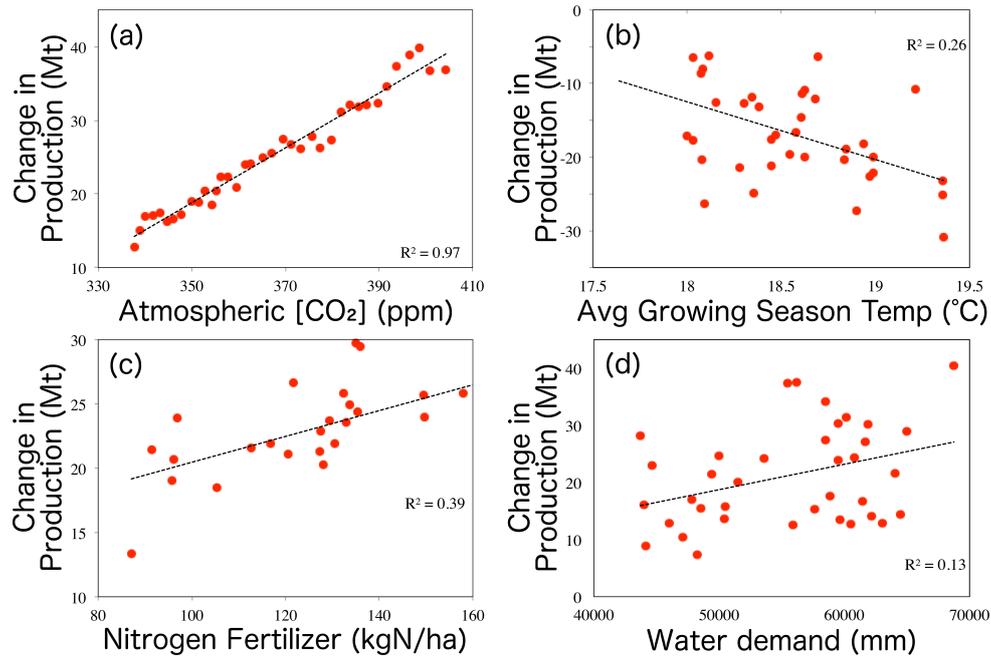
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Figure 4: Scatter plots of the ISAM simulated wheat production (Mt) compared to (a) FAOSTAT (2019) and (b) the Directorate of Economics and Statistics, Ministry of Agriculture and Farmers Welfare, India (MAFW, 2017) datasets from 1980 to 2014. The Pearson's correlation coefficients are (a) 0.92 and (b) 0.91.



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Figure 5: Impact ($S_{CON}-S_{<factor>}$) of different environmental factors (atmospheric CO₂ and changing temperature) and land management practices (nitrogen fertilizer and water availability) on production for 1980 to 2016.



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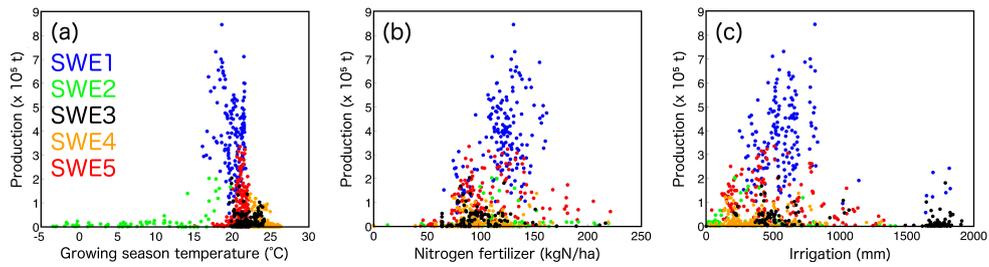
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Figure 6: Plots of change in annual wheat production from 1980 to 2016 ($S_{CON}-S_{<factor>}$) with annual (a) atmospheric CO₂, (b) average growing season temperature, (c) average nitrogen fertilizer and (d) water demand. The black line shows Sen's slope (Sen, 1968).



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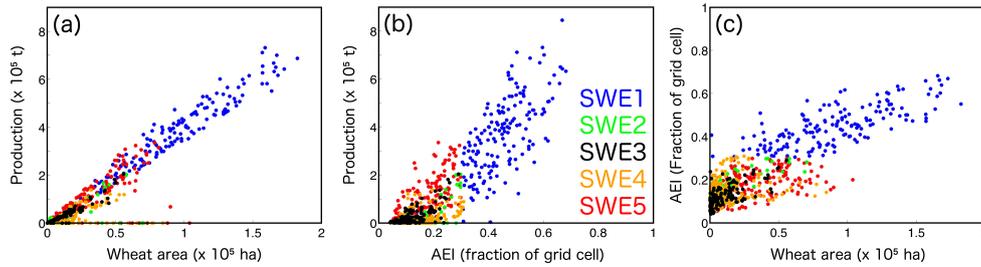
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Figure 7: Scatter plots of grid-specific average wheat production from 1980 to 2016 with temporal average of input forcings (a) growing season temperature (b) nitrogen fertilizer and (c) irrigation for different SWEs.



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Figure 8: Scatter plots for gridded wheat production with the wheat area and Area Equipped for Irrigation (AEI) for different SWEs.



646 **Table 1: Description of numerical experiments conducted with ISAM wheat model from**
 647 **1901 to 2016.**

Numerical Experiments	CO ₂	Temperature	Nitrogen fertilizers	Irrigation
Control (S_{CON})	Annual values from Global Carbon Project Budget 2017	6 hourly CRU-NCEP	Grid-cell specific fertilizer amount (Source: this study)	Hourly values to ensure no water stress
S_{CO2}	Fixed at 1901 level	Same as in CTRL	Same as in CTRL	Same as in CTRL
S_{TEMP}	Same as in CTRL	No temperature change*	Same as in CTRL	Same as in CTRL
S_{N_FERT}	Same as in CTRL	Same as in CTRL	No fertilizer	Same as in CTRL
S_{WATER}	Same as in CTRL	Same as in CTRL	Same as in CTRL	No Irrigation + No precipitation change*
S_{IRRI}	Same as in CTRL	Same as in CTRL	Same as in CTRL	No Irrigation

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649 *Data for years 1901-1930 is recycled to represent stable (no change) conditions



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Table 2: Characteristics of different spring wheat environments (SWE) in India.

Spring Wheat Environment (SWE)	Description	Geographic location	Average growing season temperature (°C)	Average Growing Season Precipitation (mm)	Fraction of grid Area Equipped for Irrigation (AEI)
SWE1	Irrigated, moderate rainfall, favourable temperature	Indo-Gangetic Plains	17-22	30-150	≥30%
SWE2	Non-irrigated, high rainfall, low temperature	Himalayan Belt	<18	>120	<30%
SWE3	Non-irrigated, low rainfall, moderate to high temperature	North-west India	19-24	<42	<30%
SWE4	Non-irrigated, moderate rainfall, high temperature	Central and southern parts of India	>21	>40	<30%
SWE5	Non-irrigated, moderate rainfall, favourable temperature	Central parts of India	17-22	>40	<30%

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652 **Table 3: Temporal variations of different input forcings and their impacts on annual**
653 **wheat production in India during the study period (1980-2016).**

Input Forcing (<i>i</i>)	Rate of change of <i>i</i> in study period	Rate of change in annual wheat production	Change in annual wheat production per unit change in <i>i</i>
Elevated atmospheric CO ₂ level	1.82 ppm/yr	0.68 Mt/yr	0.37 Mt/ppm
Average growing season temperature*	0.026 °C/yr	-0.37 Mt/yr	-8.38 Mt/°C
Average water demand	442.50 mm/yr	0.24 Mt/yr	0.44 Mt/1000mm
Average nitrogen fertilizer per unit area	2.66 kgN/ha/yr**	0.31 Mt/yr	0.10 Mt/kgN/ha

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655 *October to March

656 **Data available from 1980-2005