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# No way out? The double-bind in seeking global prosperity along with mitigated climate change

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## Abstract

In a prior study (Garrett, 2011), I introduced a simple thermodynamics-based economic growth model. By treating civilization as a whole, it was found that the global economy's current rate of energy consumption can be tied through a constant to its current accumulation of wealth. The value of the constant is  $\lambda = 9.7 \pm 0.3$  milliwatts per 1990 US dollar. Here, this model is coupled to a linear formulation for the evolution of atmospheric CO<sub>2</sub> concentrations. Despite the model's extreme simplicity, multi-decadal hindcasts of trajectories in gross world product (GWP) and CO<sub>2</sub> agree closely with recent observations. Extending the model to the future, the model implies that the well-known IPCC SRES scenarios substantially underestimate how much CO<sub>2</sub> levels will rise for a given level of future economic prosperity. Instead, what is shown is that, like a long-term natural disaster, future greenhouse warming should be expected to retard the real growth of wealth through inflationary pressures. Because wealth is tied to rates of energy consumption through the constant  $\lambda$ , it follows that dangerous climate change should be a negative feedback on CO<sub>2</sub> emission rates, and therefore the ultimate extent of greenhouse warming. Nonetheless, if atmospheric CO<sub>2</sub> concentrations are to remain below a "dangerous" level of 450 ppmv (Hansen et al., 2007), there will have to be some combination of an unrealistically rapid rate of energy decarbonization and a near immediate collapse of civilization wealth. Effectively, civilization is in a double-bind. If civilization does not collapse quickly this century, then CO<sub>2</sub> levels will likely end up exceeding 1000 ppmv; but, if CO<sub>2</sub> levels rise by this much, then the danger is that civilization will gradually tend towards collapse.

## 1 Introduction

Despite decades of public awareness of the potential for fossil fuel consumption to lead to dangerous climate change, anthropogenic emissions of CO<sub>2</sub> have accelerated (Canadell et al., 2007; Raupach et al., 2007). The implications of civilization continuing

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on this path are environmental changes that are both irreversible and profound, including amplified hydrological extremes, storm intensification, sea level rise, and extreme mammalian heat stress (Hansen et al., 2007; Allan and Soden, 2008; Solomon et al., 2009; Vermeer and Rahmstorf, 2009; Sherwood and Huber, 2010).

5 The response of natural systems to elevated CO<sub>2</sub> levels can be quantified in sophisticated Earth System Models or EaSM's (Gent and Co-authors, 2009). Assessing the impacts of climate warming on society is just as challenging. Typically the approach is to couple a system of economic equations to a medium complexity climate model. Normally, these Integrated Assessment Models (IAMs) make regionally-based  
10 assessments of the economics of production, investment, consumption, welfare, discount rates, population and rates of technological change. These economic functions are coupled to functions for atmospheric temperature and climate damage. From within a parameter space that might be of order 100 variables, the model outcome is a long-term optimized trajectory for long-term societal welfare. This optimal trajectory serves  
15 as the reference point to which future policy measures (for example the Copenhagen Accord) can be compared (Nordhaus and Boyer, 2000; Keller et al., 2004; Nordhaus, 2010). Uncertainty in the optimal path, when addressed, is modeled using Monte Carlo simulations within a portion of the total parameter space (Mastrandrea and Schneider, 2004).

20 Modern IAMs are based on neo-classical economic models that, unlike EaSMs, do not explicitly represent physical flows. Here, a different approach is taken, which is to make a comprehensive appeal to thermodynamic laws in order to make deterministic forecasts of the coupled evolution of the global economy and greenhouse warming. Civilization is as much a part of the universe as is the atmosphere. Therefore, the  
25 intent of this article is not to focus on evaluation of the merits of any potential policy actions. Rather, the aim is provide a range of physically constrained trajectories for how we might expect the atmospheric composition and the global economy to evolve over the coming century.

## 2 A physics-based economic framework

In an earlier article (Garrett, 2011), I introduced an economic growth model that treated civilization from a thermodynamic standpoint illustrated in Fig. 1. In this conception, civilization lies along a surface where, on average, all material is in local thermodynamic  
5 equilibrium and has the same potential energy. Expressed another way, civilization is approximated as a surface with constant temperature and pressure, or constant specific entropy. From this perspective, no distinction can be made between the internal components of civilization. Unlike traditional economic models, there is no explicit account for households, firms, governments or banks, nor the flows to and from these  
10 components. Rather, civilization is considered only as a whole. It is defined at a sufficiently low resolution that the only resolved distinction is between civilization and known primary energy reservoirs (e.g. coal, oil, uranium, etc.).

Here, energy reservoirs lie along a higher potential surface than civilization. The interface that separates these two surfaces is defined by a gradient in potential energy  
15  $\Delta G$  that drives material flow downward to redistribute the balance of potential energy towards the lower potential surface. This flow of energy from high to low potential is a "heating" of civilization that is equivalent to the rate  $a$  at which civilization consumes the potential energy in primary energy resources.

Defining the size of the potential gradient to be linearly proportional to the flow rate  
20 of potential energy across it, then

$$a = \alpha \Delta G \quad (1)$$

where,  $\alpha$  is a constant rate coefficient. The flow of material down the gradient towards civilization adds to civilization's material bulk. The increase in civilization bulk  
25 "stretches" the length of the gradient. Effectively, heating  $a$  enables growth of the interface. The convergence of material in civilization can be termed work  $w$  because it will expand the material interface at rate

$$\frac{d\Delta G}{dt} = w = \epsilon a \quad (2)$$























only with rapid decarbonization and civilization collapse that such CO<sub>2</sub> concentrations can be attained.

Perhaps the basic reason that there is a mismatch between the CThERM and SRES scenarios is that the SRES scenarios are based on an assumption that increases in energy efficiency will lower the amount of CO<sub>2</sub> emitted for a given amount of economic activity. The thermodynamic and observational analysis described here and in Garrett (2011), if it is correct, indicates that the opposite should be expected to hold. From Eq. (3), gains in efficiency  $\epsilon$  accelerate CO<sub>2</sub> emissions by accelerating civilization's capacity to access primary energy reservoirs.

## 5 Conclusions

This study builds on a key result presented in a prior article (Garrett, 2011), that civilization wealth and global rates of primary energy consumption are tied through a constant value of  $\lambda = 9.7 \pm 0.3$  mW per 1990 US dollar. On this basis, a very simple prognostic model (CThERM) is introduced for forecasting the coupled evolution of the economy and atmospheric CO<sub>2</sub> concentrations. While the model in its basic form has just three prognostic equations, it nonetheless provides accurate multi-decadal hindcasts for global world production and atmospheric concentrations of CO<sub>2</sub>.

The much more sophisticated formulations commonly used in Integrated Assessment Models can have hundreds of equations. In part this is required to forecast regional variations of specific societal indicators such as population or standard of living. The argument made here and in Garrett (2011) is that, at the global scales relevant to atmospheric composition, such complexity is largely unnecessary. Both the global economy and atmospheric CO<sub>2</sub> can be considered to be "well-mixed", and they evolve in a manner that is constrained by the global rate of primary energy consumption.

One implication of this result is that a warming of the global climate should be expected to manifest itself economically as a long-term increase in global inflationary pressures. Environmental pressures erode a material interface that enables civilization

to consume the primary energy resources it requires. Normally, this erosion is more than offset by increasing access to primary energy reservoirs; in fact, it is an increasing access to energy supplies that has enabled a positive (and growing) inflation-adjusted gross world product, and has led to the generally high standard of living we enjoy today. However, in a global warming scenario, it can be expected that environmental pressures will increase, and these will act to slow the growth of energetic flows. Fiscally, this will appear as an inflationary drag on growth of economic wealth, and ultimately this will push civilization towards an accelerating decline.

There are important differences between the thermodynamically-constrained long-range forecasts of the evolution of GWP and atmospheric CO<sub>2</sub> concentrations from CThERM, and those seen in the commonly used IPCC SRES scenarios. Foremost, it looks as if the SRES scenarios make unphysical underestimates of the amount of energy consumption and CO<sub>2</sub> emissions that is required to sustain prosperity growth. Rather, it looks like the options for stabilizing CO<sub>2</sub> concentrations are tightly constrained. In fact, no physically plausible scenario leads to concentrations below the 450 ppmv level that might be considered as "dangerous" (Hansen et al., 2007).

One route for constraining CO<sub>2</sub> growth is to reduce the growth rate of wealth. This can be done by slowing the technological advancements that would enable society to grow into new energy reservoirs. Alternatively, society could increase its exposure to environmental predation. Unfortunately, both of these options necessitate inflationary pressures, so it is hard to see how democratically elected policy makers would willingly prescribe either of these things.

Otherwise, civilization must rapidly de-couple its growth from CO<sub>2</sub> emitting sources of energy. There is an important caveat however, which is that such decarbonization does not slow CO<sub>2</sub> accumulation by as much as might be anticipated. Decarbonizing civilization promotes civilization wealth by alleviating the rise in dangerous atmospheric CO<sub>2</sub> levels. But if the growth of wealth is supported, then energy consumption accelerates, and this acts to accelerate CO<sub>2</sub> emissions themselves. Thus, civilization appears to be in a double-bind with no obvious way out. Only a combination of extremely rapid





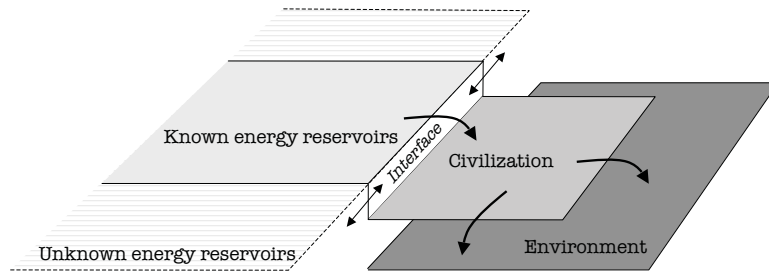




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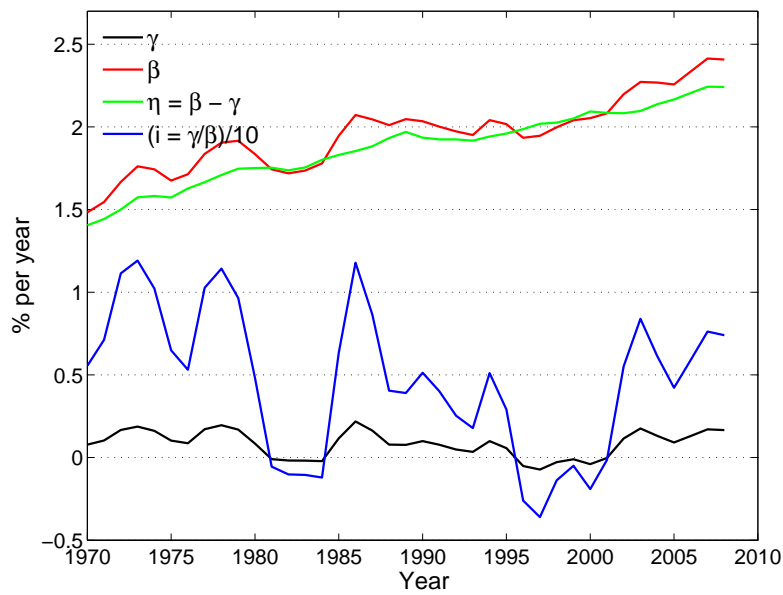
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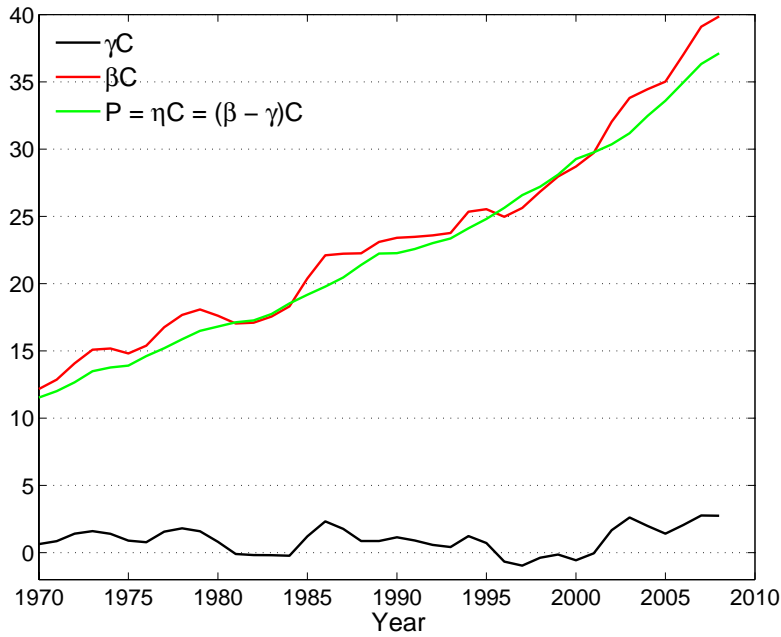
**Fig. 1.** Schematic for the thermodynamic evolution of civilization. Energy reservoirs, civilization, and the environment lie along distinct constant potential surfaces. The size of an interface between the surfaces determines the speed of downhill material flow. The interface itself grows or shrinks according to the net material flux convergence into civilization. Civilization growth expands flows by extending civilization’s access to previously unknown energy reservoirs.

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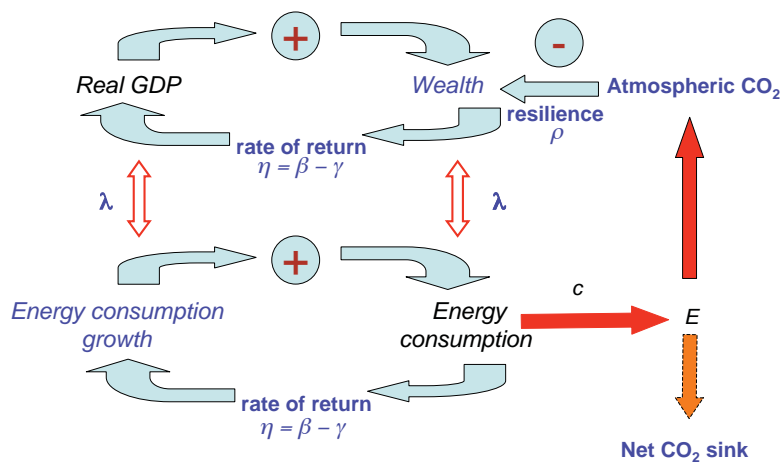


**Fig. 2.** From global economic statistics (UNs, 2010), derived global values for global inflation  $i$  (Eq. 23), the decay coefficient  $\gamma$  (Eq. 19), the source coefficient  $\beta$  (Eq. 20) and the rate of return  $\eta$  (Eq. 22) based on observations of nominal and real production, and total global wealth.

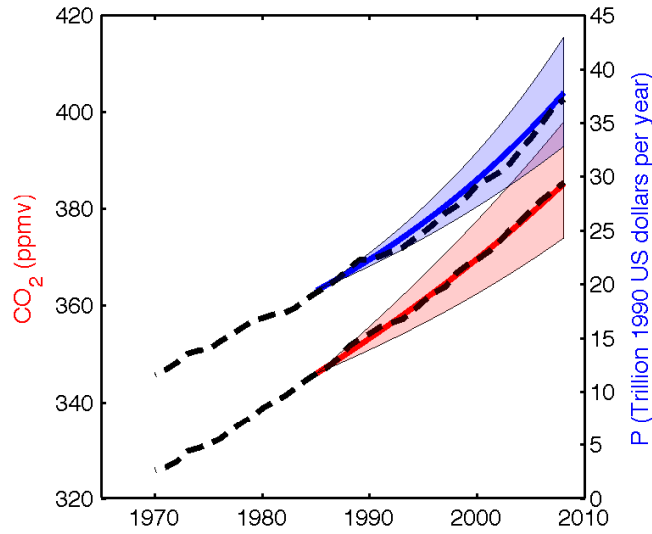
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**Fig. 3.** As for Fig. 2 but for the product of the rate coefficients and total wealth  $C$  (Eq. 7). The difference between  $\beta C$  and  $\eta C$  is the inflationary depreciation associated with each year  $\gamma C$ . (Eqs. 18 and 22).

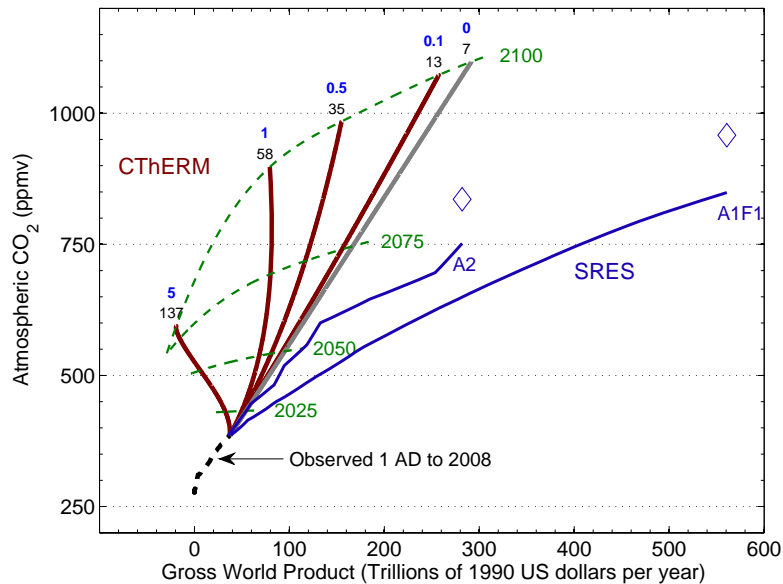


**Fig. 4.** Schematic illustrating the CThERM framework for economic growth (Garrett, 2011), coupled to atmospheric  $\text{CO}_2$  concentrations. Global rates of primary energy consumption rates  $a$  are tied to accumulated inflation-adjusted global economic wealth  $C = \int_0^t P dt'$  through a constant coefficient  $\lambda = 9.7$  milliwatts per 1990 dollar. Because  $\lambda$  is a constant, growth in energy consumption rates  $da/dt$  are represented economically by the real, inflation-adjusted global GDP  $P$ . Thus,  $da/dt = \lambda P$  is the “rate of return”  $\eta$  adding to  $a = \lambda C$ .  $E$  represents the anthropogenic rate of  $\text{CO}_2$  emissions,  $\beta$  is the source for a positive rate of return  $\eta$  due to increasing availability of energy reservoirs.  $\gamma$  is the sink for civilization growth driven by environmental degradation. Emissions  $E$  determine  $\text{CO}_2$  concentrations, subject to land and ocean sinks.  $\text{CO}_2$  concentrations act as a negative feedback on economic growth.



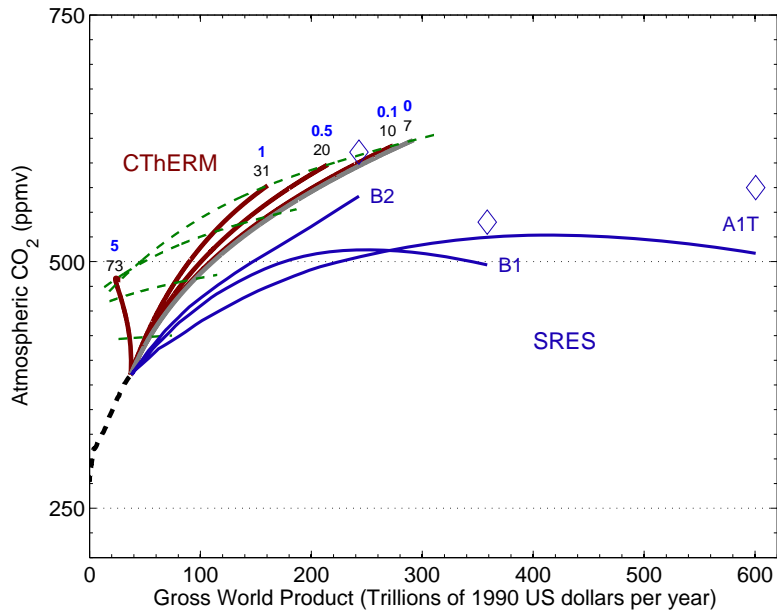
**Fig. 5.** Based on the CThERM model given by Eqs. (29) to (31), hindcast trajectories and associated uncertainty estimates for the period 1985 to 2008 in a space of atmospheric  $\text{CO}_2$  concentrations (red) and global economic production (blue). Observed statistics for the period 1970 to 2008 are shown by black dashed lines. The model is initialized with observed conditions in 1985, and a linear trend in the nominal production coefficient  $\beta$  between 1970 and 1984.

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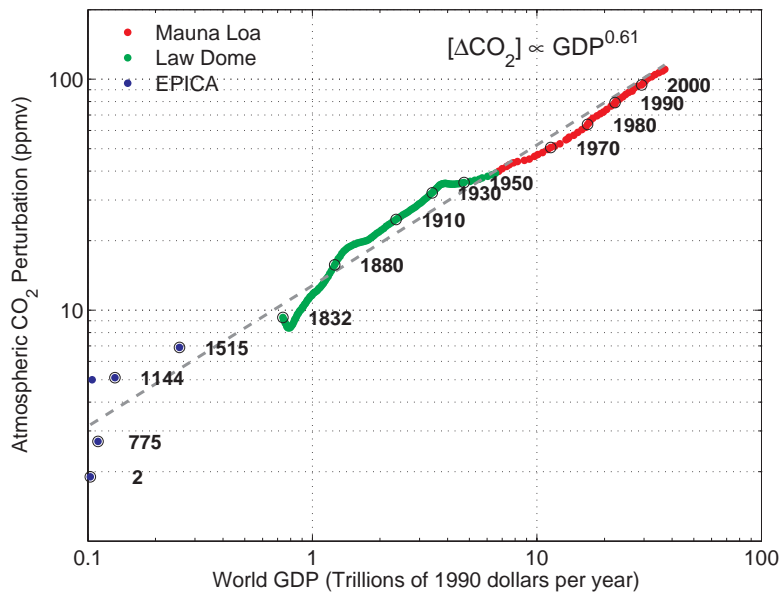
**Fig. 6.** As for Fig. 5, except for CThERM trajectories calculated out to 2100, with the model initialized with conditions in 2008 and assuming that  $d\beta/dt = 0$  and  $dc/dt = 0$  for a range of values of inverse resilience  $1/\rho$  (blue numbers expressed in  $\% \text{ yr}^{-1}$  change in the decay coefficient  $\gamma$  per  $\text{CO}_2$  doubling). Small numbers in black correspond to the calculated inflationary pressure  $i = \gamma/\beta$  (Eq. 23) in year 2100. Green dashed lines represent the modeled year. Shown for comparison are the IPCC SRES A1F1 and A2 scenarios based on the CThERM linear sink model for  $\text{CO}_2$ .  $\text{CO}_2$  concentrations for these scenarios using the Bern carbon cycle model are shown by blue diamonds. Historical data from 1 AD to 2008 is added for reference (see Appendix B).

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**Fig. 7.** As for Fig. 6 except that it is assumed that the value of carbonization  $c$  has an assumed halving time of 50 years. For comparison, the IPCC SRES trajectories that are considered are the A1T, B1 and B2 scenarios.

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**Fig. A1.** Measured perturbations in atmospheric  $\text{CO}_2$  concentrations from a baseline of 275 ppmv, compared with historical estimates of global GDP in inflation adjusted 1990 dollars, with associated year markers, and a linear fit to the data.

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