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# Geologic constraints on earth system sensitivity to CO<sub>2</sub> during the Cretaceous and early Paleogene

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# Abstract

Earth system sensitivity (ESS) is the long-term (> $10^3$  yr) equilibrium temperature response to doubled CO<sub>2</sub>. ESS has climate policy implications because global temperatures are not expected to decline appreciably for at least  $10^3$  yr, even if anthropogenic greenhouse-gas emissions drop to zero. We report quantitative ESS esti-

- mates of 3 °C or higher for much of the Cretaceous and early Paleogene based on paleo-reconstructions of  $CO_2$  and temperature. These estimates are generally higher than climate sensitivities simulated from global climate models for the same ancient periods (~3 °C). We conclude that climate models do not capture the full suite of positive
- climate feedbacks during greenhouse worlds. These absent feedbacks are probably related to clouds, trace greenhouse gases, seasonal snow cover, and/or vegetation, especially in polar regions. Continued warming in the coming decades as anthropogenic greenhouse gases accumulate in the atmosphere ensures that characterizing and quantifying these positive climate feedbacks will become a scientific challenge of
- 15 increasing priority.

#### 1 Introduction

Climate sensitivity is often defined as the change in global mean surface temperature for every doubling of atmospheric  $CO_2$  (e.g., IPCC, 2007). In a simple blackbody system, forcing associated with  $CO_2$  doubling (3.7 W m<sup>-2</sup>) causes an ~1.2 °C warming (Soden and Held, 2006). However, feedbacks such as changes in atmospheric

ing (Soden and Held, 2006). However, feedbacks such as changes in atmospheric water vapor content and cloud distributions amplify or dampen the temperature effect associated with CO<sub>2</sub>. Climate sensitivity (CS) can therefore be defined as

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$$CS = \frac{1.2 °C}{1 - f}$$

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(2)

where *f* is the net impact of the feedback processes. In a landmark study based on global climate model (GCM) simulations, Charney (1979) calculated a climate sensitivity of  $3 \pm 1.5$  °C, indicating a net amplifying effect for these feedbacks. This value, and its associated uncertainties (Roe and Baker, 2007; Knutti and Hegerl, 2008), has not

<sup>5</sup> substantially changed over the subsequent three decades of intense research using increasingly sophisticated climate models, historical records, and sedimentary archives back to the Last Glacial Maximum. For example, the IPCC (2007) reports a climate sensitivity of 3<sup>+1.5</sup><sub>-1.0</sub> °C per CO<sub>2</sub> doubling.

# 1.1 Earth system sensitivity

- The primary feedbacks considered by Charney (1979) and implemented in the majority of GCMs changes in atmospheric water vapor content and cloud, snow, and sea ice distributions all operate on short timescales (<10<sup>2</sup> yr). Some GCMs also include effects related to aerosols and vegetation (IPCC, 2007). For simplicity, we use the term Charney sensitivity to describe "fast-feedback" climate sensitivity associated with all of
- these models. Many of the climate feedbacks exluded from Charney sensitivity, such as the waxing and waning of continental ice sheets, impact climate primarily on longer timescales (>10<sup>2</sup> yr). Some "fast feedback" effects are excluded as well, especially those that are important only when the climate state is significantly different from today. For example, during globally-warm times characterized with little-to-no permanent ice,
- the behavior of clouds (Kump and Pollard, 2008) and the mixing ratios of other trace greenhouse gases (e.g., CH<sub>4</sub>, N<sub>2</sub>O) (Beerling et al., 2011) are not necessarily captured by the majority of GCMs. A calculation of climate sensitivity that integrates a whole-Earth system response to CO<sub>2</sub> change, including all slow- and fast-feedbacks, has been termed Earth system sensitivity (ESS) (Hansen et al., 2008; Lunt et al., 2010).
- ESS is a measure of the long-term equilibrium response to doubled CO<sub>2</sub> and can be formalized as

ESS = 
$$\frac{1.2 \,^{\circ}\text{C}}{1 - (f_{\text{C}} + f_{\text{NC}})}$$

where  $f_{\rm C}$  reflects Charney feedbacks and  $f_{\rm NC}$  all other feedbacks.

- ESS is more relevant than Charney sensitivity for understanding the ancient past because of the limits in temporal resolution of many geologic archives (often >10<sup>3</sup> yr) and because some climate feedbacks important in Earth's past are excluded from Charney sensitivity. ESS also has relevance for our current climate crisis by providing insights into the possible spectrum of long-term responses of the Earth system to anthropogenic atmospheric CO<sub>2</sub> increase. Although the full extent of future warming associated with ESS develops over centuries to millennia, it potentially contributes
- to significant temperature effects on shorter timescales too. For example, some processes absent in Charney sensitivity respond on decadal timescales, including ice sheet decay (Chen et al., 2006) and poleward migration of treelines (Sturm et al., 2001; Lloyd, 2005). Moreover, it is now recognized that global temperatures will not cool appreciably for many centuries, even if anthropogenic greenhouse-gas emissions
- <sup>15</sup> drop to zero (Archer and Brovkin, 2008; Matthews and Caldeira, 2008; Shaffer et al., 2009; Solomon et al., 2009, 2010; Armour and Roe, 2011; Gillett et al., 2011). This is primarily because the transfer of heat and diffusion of CO<sub>2</sub> to the ocean are both linked to ocean circulation. Critically, these long-term temperature projections are based on climate models with a Charney-style climate sensitivity, even though their temporal
- scope overlaps with ESS. In this regard, estimations of ESS highlight the potential longer-term consequences of delaying policies for curbing greenhouse gas emissions (Archer, 2005; Montenegro et al., 2007).

#### **1.2** Prior estimates of earth system sensitivity

The geologic record allows estimation of ESS because it captures feedbacks operating on short and very long timescales. Typically, ESS is computed from reconstructions of CO<sub>2</sub> and temperature (Table 1). The early Pliocene (5–3 million years ago, Ma) has received considerable attention in part because of the high density of paleoclimate information but also because it serves as a useful analog for the near future. At peak Pliocene warmth 4 to 5 Ma, global surface temperatures were ~4 °C warmer than pre-industrial conditions (Brierley and Fedorov, 2010). Coeval CO<sub>2</sub> estimates from a

- variety of approaches all indicate ~400 ppm, or ~0.5 CO<sub>2</sub> doublings relative to the preindustrial (van der Burgh et al., 1993; Raymo et al., 1996; Pagani et al., 2010; Seki et al., 2010). After consideration of the uncertainties in the CO<sub>2</sub> and temperature estimates, a minimum ESS of 6 °C is implicated (Table 1) compared to a GCM-derived Charney sensitivity of 3 °C (Lunt et al., 2010). Paleoclimate analyses for the Pleis-
- tocene and Cenozoic glacial period (last 34 million years) also indicate an ~6°C ESS (Hansen et al., 2008). The two-fold amplification of ESS over Charney sensitivity in this case is probably driven mostly by cryogenic feedbacks (Hansen et al., 2008; Pagani et al., 2010).
- The apparent importance of cryogenic feedbacks raises the fundamental question of the nature of ESS during times in Earth's history lacking large ice sheets. The early Cretaceous to early Cenozoic (125–34 Ma) is key for addressing this question because it is the most recent and data-rich greenhouse interval (Table 1) (Frakes et al., 1992; Royer, 2006; Vaughan, 2007). Over the past ten years, many temperature reconstructions for this interval have warmed (Huber, 2008) while some CO<sub>2</sub> estimates

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- have dropped (Breecker et al., 2010), implying an upward revision in ESS (Royer, 2010; Kiehl, 2011) and rendering earlier attempts at constraining ESS outdated (Budyko et al., 1987; Hoffert and Covey, 1992; Covey et al., 1996; Borzenkova, 2003) (Table 1). ESS during the Paleocene-Eocene thermal maximum (PETM) ~55 Ma probably exused 4.2 (Histore 2020). Example 10.0000) This is a second s
- ceeded 4 °C (Higgins and Schrag, 2006; Pagani et al., 2006; Zeebe et al., 2009). This is
  an important observation because the PETM is considered a paleo-analog of present-day climate change in terms of rate and magnitude of carbon release (Zachos et al., 2008), albeit in an ice-free world. Bijl et al. (2010) produced a high-resolution CO<sub>2</sub> and temperature record for the Middle Eocene climatic optimum (MECO; 40 Ma) and calculated an ESS of 2–5 °C (Table 1). In a study encompassing most of the Phanerozoic,

Park and Royer (2011) optimized the value of ESS embedded in a long-term carbon cycle model to minimize the misfit in atmospheric  $CO_2$  between the model and proxies. The most likely ESS values exceeded 3 °C during non-glacial intervals and ~6 °C during glacial periods (Table 1), although the time resolution was coarse (10 million year time-steps).

# 1.3 Goals of study

Elevated ESS relative to Charney sensitivity is observed for some glacial times but far less is known for non-glacial times outside of a few brief intervals (e.g., PETM, MECO). There is a clear need for better constraints on ESS during these warm times in order

to evaluate the role of non-Charney feedbacks. Here we analyze early Cretaceous to early Paleogene (125–45 Ma) CO<sub>2</sub> and temperature records to establish new quantitative constraints on non-glacial ESS. Owing to the lack of strong cryogenic feedbacks during this period, we aim to assess whether ESS is similar to Charney sensitivity, and if there are differences to discuss the climate feedbacks that may be responsible.

#### 15 2 Methods

We compiled temperature records for the 125–45 Ma interval from literature sources (Table 2). We use the Cramer et al. (2009) compilation of benthic foraminifera  $\delta^{18}$ O values corrected for vital effects (Zachos et al., 2001) as a proxy for deep-water temperature. We also compiled tropical sea surface temperature (SST) data from three

- <sup>20</sup> methods:  $\delta^{18}$ O of planktonic foraminifera, Mg/Ca of planktonic foraminifera, and TEX<sub>86</sub> of archaeal membrane lipids. All  $\delta^{18}$ O records were chosen carefully to avoid diagenetically altered material (Pearson et al., 2001; Huber, 2008). TEX<sub>86</sub> data are calibrated to Kim et al. (2008). Errors follow Crowley and Zachos (2000) for tropical  $\delta^{18}$ O records (±2°C), Kim et al. (2008) for TEX<sub>86</sub> records (±1.7°C), and the individual publications for Ma(Os records).
- <sup>25</sup> for Mg/Ca records.

We compiled proxy CO<sub>2</sub> records from the stomatal, phytoplankton, liverwort, and nahcolite methods (Table 2). All liverwort-based estimates are updated using the new atmospheric  $\delta^{13}$ C record of Tipple et al. (2010). We exclude paleosol calciteand goethite-based CO<sub>2</sub> estimates due to uncertainties in modeling soil respiration

- (Breecker et al., 2009) and isotopic fractionation factors (Rustad and Zarzycki, 2008), respectively. Boron-based CO<sub>2</sub> estimates of Pearson and Palmer (2000) are excluded due to problems related to diagenesis, vital effects of extinct species, and the evolution of seawater  $\delta^{11}$ B and alkalinity (Lemarchand et al., 2000; Royer et al., 2001a; Pagani et al., 2005; Klochko et al., 2006, 2009).
- Compiled atmospheric CO<sub>2</sub> and temperature records show considerable scatter at any given time slice (Fig. 1a-c); CO<sub>2</sub>, for example, typically varies two-fold. Given these uncertainties, we conservatively calculate minimum values of ESS based on maximum estimates of atmospheric CO<sub>2</sub> and minimum estimates of global mean surface temperature. Our approach establishes the *minimum* level of long-term warming for any CO<sub>2</sub> change.

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#### Calculation of earth system sensitivity

We calculate changes in global annual-mean surface temperature relative to preindustrial conditions two independent ways following Hansen et al. (2008). First, tropical SSTs are converted to global mean surface temperature assuming a 2:3 scaling,

- consistent with Pleistocene records (Hansen et al., 2008), and a pre-industrial tropi-20 cal SST of 29°C (Fig. 1b). We note that our pre-industrial baseline is warm (compare with blue band in Fig. 1b) and that the 2:3 scaling is likely too conservative because latitudinal temperature gradients during the Cretaceous and early Paleogene were flatter than the present-day (Greenwood and Wing, 1995; Bice and Norris, 2002; Bijl et
- al., 2009; Hollis et al., 2009). A cooler SST baseline and a larger scaling factor both increase the calculation of global mean surface temperature and ESS. For example, during the Middle Eocene (~40 Ma), a period of flatter-than-present latitudinal temperature gradients (Bijl et al., 2009), Kiehl (2011) used tropical and subpolar SST records

(35–40 °C and 20–25 °C, respectively) to calculate a global temperature ~16 °C warmer than pre-industrial conditions. In contrast, our methodology returns a global temperature of 9.0-16.5 °C for the same tropical SST spread. This discrepancy reinforces our conservative approach in estimating ESS.

- For benthic  $\delta^{18}$ O temperature records, we assume no influence on  $\delta^{18}$ O from ice and an ice-free ocean  $\delta^{18}$ O of -1.2%. We also assume a 1:1 scaling between highlatitude sourced deep-water and global temperatures for these non-glacial times. While changes in high-latitude surface-ocean temperature are generally amplified over the global surface ocean, the effect is compensated by amplified temperature change over
- land surfaces (Hansen et al., 2008). This 1:1 scaling, however, is not well constrained. 10 To cast benthic-derived global surface temperatures relative to the pre-industrial, we note that deep-water temperatures just prior to the onset of Antarctic glaciation ~34 Ma were ~6°C warmer than the pre-industrial (Zachos et al., 2008). Global surface temperature at this time was probably >6 °C warmer owing to the limit on deep-water tem-
- peratures by the freezing point during glacial periods. For example, a 2:3 scaling between deep-water and global temperatures is present during the Pleistocene (Hansen et al., 2008). In the spirit of establishing minimum changes in global surface temperature, we assume a conservative 1:1 scaling.

We determine the number of CO<sub>2</sub> doublings relative to pre-industrial time from the

- "max paleo-CO2" line in Fig. 1a and a pre-industrial value of 280 ppm. CO2 doublings are then converted to a projection of global mean surface temperature assuming a constant ESS value (colored lines in Fig. 1b-c). In this formulation, all actual temperature data plotting above a reference ESS line have an associated ESS that exceeds the reference value. We also compute ESS directly from the "max paleo-CO2" history
- and individual temperature estimates (1 million year means shown in Fig. 1d). Because CO2 was probably lower than our "max paleo-CO2" reference, the ESS calculations are minima. However, CO<sub>2</sub> proxies, especially the stomatal proxy, lose precision at high CO2 (see weakly-to-unbounded estimates in Fig. 1a) (Royer et al., 2001a; Beerling et al., 2009b).

Because the preceding analyses are normalized to pre-industrial conditions, it is necessary to consider additional effects of solar luminosity, continental position, and biological evolution, which impact temperature independently of  $CO_2$ . We account for the increase in solar luminosity over time (Gough, 1981) using a conversion factor of  $O_2^{\circ}$ .

5 0.8°C W<sup>-1</sup> (Hansen et al., 2005); at 125 Ma, for example, the correction for a reference line is 1.5°C (note overall positive slope of reference lines in Fig. 1b–c). This correction is probably a minimum because it excludes the associated non-Charney feedback factors (f<sub>NC</sub>).

Quantifying the impact of paleogeography on global temperatures is more uncertain. GCMs configured to the Cretaceous and early Paleogene, but with present-day luminosity, predict a warming of 0–2.8 °C relative to present-day control runs (Barron et al., 1993; Sloan and Rea, 1995; Heinemann et al., 2009; Dunkley Jones et al., 2010). However, these models exclude ice sheets, making direct comparisons to the presentday difficult, unless changing geography alone is sufficient to melt all ice sheets. Bice

- et al. (2000) varied only geography for a pair of simulations at 55 and 15 Ma (ice and greenhouse gas concentrations held constant) and found little difference in global temperature (<1 °C). Similarly, Donnadieu et al. (2006) performed simulations for the early and late Cretaceous and predicted a 3.8 °C warmer late Cretaceous. Changes in vegetation due to continental configuration can also impact climate. Vegetation models</p>
- <sup>20</sup> dynamically-coupled to climate models for the Cretaceous and Cenozoic predict a warming of ~2°C over geographically-equivalent simulations with either no vegetation or a present-day vegetation distribution (Dutton and Barron, 1997; Otto-Bliesner and Upchurch, 1997). Because the paleovegetation schemes were prescribed based on fossil evidence, which in turn reflect a combination of geographic, evolutionary, and
- 25 greenhouse-gas forcings, we consider 2°C to represent a maximum temperature effect. In sum, the impact of geography, including linked vegetation feedback, is poorly constrained and as a result we exclude it from our calculations. If the effect is <3°C, as seems likely from the preceding discussion, then our main conclusions remain unchanged.</p>

### 3 Results

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According to our new compilation of proxy data, atmospheric  $CO_2$  declined from 600–1000 ppm at ~120 Ma to 300–700 ppm at 58 Ma, followed by a spike to near 2000 ppm at ~50 Ma (Fig. 1a). Both global temperature reconstructions follow the same pattern

- <sup>5</sup> (Fig. 1b–c), highlighting a first-order link between CO<sub>2</sub> and temperature. Both benthic and tropical SST approaches to estimating ESS are broadly complementary, with most of the Cretaceous and early Paleogene record yielding ESS estimates of at least 3°C (Fig. 1b–d). During the early Late Cretaceous (95–85 Ma), ESS might have exceeded 6°C based on limited CO<sub>2</sub> data (Fig. 1d).
- <sup>10</sup> We consider a minimum ESS of 3 °C robust for several reasons. First, CO<sub>2</sub> appears internally consistent across methods, suggesting no strong methodological biases. However, a bias is present in the tropical SST data set, with TEX<sub>86</sub> temperatures typically being the warmest, followed by Mg/Ca and then by  $\delta^{18}$ O (Fig. 1b). The warm TEX<sub>86</sub>-based temperatures may be related to calibration issues (Sluijs et al., 2011).
- <sup>15</sup> Because of this methodological bias and because there are far fewer tropical data than benthic  $\delta^{18}$ O data, we place more confidence in ESS estimates derived from deepwater temperature reconstructions (Fig. 1c).

Second, given the uncertainties in the CO<sub>2</sub> estimates (Fig. 1a), it could be argued that our "max paleo-CO<sub>2</sub>" line is too low. However, even if CO<sub>2</sub> was consistently high (2000 ppm), ESS would still exceed 3 °C for much of the interval (dotted lines in Fig. 1b-c).

Third, because our results describe the mean ESS between the pre-industrial and a given geologic time slice, they could be biased by high ESS during glacial times (34–0 Ma). But even if glacial ESS was as high as  $6^{\circ}C$  (Table 1), non-glacial ESS probably exceeded  $3^{\circ}C$  (orange lines in Fig. 1b–c).

ESS is not static over time (Fig. 1d). This variability impacts our calculations because they are based on comparing an ancient time slice to pre-industrial conditions. Thus, the calculations are buffered in the sense that they are biased towards the mean Discussion Paper

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ESS between tie-points. We note that this effect does not undermine our general conclusion of >3 °C ESS, but it is nonetheless informative to explore higher resolution patterns. One way forward is to use two ancient tie-points and avoid the pre-industrial altogether. While such a calculation also returns a mean ESS, the tie-points are more

- <sup>5</sup> closely spaced. An additional benefit to this approach is that it circumvents many of the potential problems in calculating global mean surface temperature (see Methods) because one paleotemperature is subtracted from another. Also, the potential confounding effects of geography and biological evolution are minimized. A drawback, however, is that problems related to uncertainties in the paleoclimate reconstructions tend to be magnified two-fold. Thus, we consider the calculations provisional.
- As noted earlier, the period from 58–50 Ma is marked by global warming (Fig. 1b–c). If we use the "max paleo-CO<sub>2</sub>" trajectory and an overall 5.5 °C rise in global temperature (5.75 to 11.25 °C from benthic records; 2.0 to 7.5 °C from Mg/Ca tropical SST records), ESS during this interval is 3.7 °C. For the period 100–58 Ma, with a  $\Delta T$  of 2.75 °C from benthic records the corresponding ESS is 6.4 °C.
- -3.75 °C from benthic records, the corresponding ESS is 6.4 °C.

#### 4 Discussion

Our analyses indicate that ESS was at least 3°C for much of the Cretaceous and early Paleogene. We stress that this 3°C value is not a mean "best-fit" estimate, but rather a likely minimum because most ESS estimates exceed 3°C and because our

methodology is designed to establish a minimum baseline. How do these minimum ESS estimates compare to Charney sensitivity? In the present-day climate system, IPCC (2007) considers <1.5 °C very unlikely (<10% probability) and the most likely range (with 66% confidence) between 2.0–4.5 °C (see also Fig. 2). However, a comparison to Charney sensitivity for the ancient past is more appropriate than for the present of the ancient past is more appropriate than for the formation.</p>

<sup>25</sup> present-day. Critically, GCMs for the Cretaceous and early Eocene simulate a mean Charney sensitivity of 2.0–2.8 °C (Barron et al., 1993; Sloan and Rea, 1995; Shellito

et al., 2003, 2009). If we collapse time in our individual ESS estimates (Fig. 1d), the resultant probability distribution indicates a mean of 4.9 °C (Fig. 2).

The totality of evidence suggests an ESS of at least 3°C (Figs. 1b-d and 2) compared to a mean Charney sensitivity of 2.0-2.8°C. We conclude from this that ESS

- was elevated relative to Charney sensitivity for at least some of the Cretaceous and early Paleogene. Positive climate feedbacks probably existed during these ice-free times that are either weak-to-absent today or that operate on timescales too slow to be captured by most GCMs. This model-data mismatch has profound consequences for understanding Earth system dynamics during these warm periods, for example the
- <sup>10</sup> long-standing failure of GCMs in underestimating high-latitude temperatures and overestimating latitudinal temperature gradients (Barron et al., 1993; Wing and Greenwood, 1993; Greenwood and Wing, 1995; Valdes, 2000; Shellito et al., 2003, 2009; Schrag and Alley, 2004; Zachos et al., 2008; Bijl et al., 2009; Heinemann et al., 2009).

#### What feedbacks are responsible for high ESS during non-glacial times?

- <sup>15</sup> The identity of these "missing" feedbacks is uncertain. However, several biological feedbacks constitute "known unknowns", including other greenhouse gases (GHGs) and biogenic aerosols that could affect calculated ESS. Emissions of reactive biogenic gases from terrestrial ecosystems have substantial impacts on atmospheric chemistry (Arneth et al., 2010). In particular, they influence the tropospheric concentrations of
- <sup>20</sup> GHGs such as ozone, methane, and nitrous oxide. Polar ice core records indicate that the biogeochemical processes controlling atmospheric CH<sub>4</sub> and N<sub>2</sub>O concentrations are sensitive to climate change on glacial-interglacial (Spahni et al., 2005) and millennial timescales (Flückiger et al., 2004). However, climate feedbacks of these trace GHGs are missing from pre-Pleistocene climate modeling investigations that adopt
- <sup>25</sup> fixed pre-industrial values regardless of the prescribed atmospheric CO<sub>2</sub> concentration.

Methane is a radiatively-important GHG and wetlands are the largest natural source of methane to the atmosphere. Sedimentary evidence indicates that

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methane-producing wetland environments were more extensive in the past, particularly in the early Eocene, with the potential steady-state flux sufficient to raise atmospheric concentrations to several thousand parts per billion (Beerling et al., 2009a). This, in turn, could also lead to higher stratospheric water vapor and polar strato-

- spheric clouds (PSCs), due to oxidation, and result in surface warming, but the associated uncertainty here is high because the very large changes in PSCs are prescribed rather than evolved in a self-consistent manner from the models (Sloan et al., 1992; Kirk-Davidoff et al., 2002). Three-dimensional "earth system" modeling studies (latitude × longitude × height) characterizing ecosystem-chemistry climate interactions
- in the early Eocene (55 Ma) and late Cretaceous (90 Ma) greenhouse worlds independently indicate the clear potential for sustained elevated concentrations of methane (4–5 × pre-industrial levels) and other trace GHGs at these times (Beerling et al., 2011). Higher concentrations of trace GHGs exert a global planetary heating of 2°C amplified by lower surface albedo feedbacks in the high latitudes to >6°C (Beerling et al.,
- <sup>15</sup> 2011). Experimental work indicates elevated atmospheric  $CO_2$  concentration can affect methane emissions from wetland systems (Megonigal and Schlesinger, 1997; Saarnio et al., 2000; Ellis et al., 2009). Hence, there should be feedback between atmospheric  $CO_2$  and  $CH_4$ , which has strong relevance for understanding ESS. This expectation is supported by 3-D earth system simulations showing a similar  $CO_2$  dependency of wetland  $CH_4$  fluxes that increased ESS (2 ×  $CO_2$  to 4 ×  $CO_2$ ) by 1–4 °C during the late
- 20 Wettahlo CH<sub>4</sub> houses that increased ESS (2 × CO<sub>2</sub> to 4 × CO<sub>2</sub>) by 1–4 C during the late Cretaceous and early Eocene (Beerling et al., 2011). Inclusion of ecosystem-atmospheric chemistry interactions into pre-Pleistocene

GCMs highlights a further neglected biological feedback involving the effects of biogenic emissions of volatile organic compounds which can partition into the solid phase

to form secondary organic aerosols (SOA) (Carslaw et al., 2010). Secondary organic aerosols affect the radiative balance of the atmosphere directly by scattering incoming solar radiation and indirectly through the formation cloud condensation nuclei (CCN) (Carslaw et al., 2010). The climate feedbacks of the ecosystem-aerosol interactions have yet to be investigated for the past or the present-day but could be important

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contributory factors influencing ESS (Arneth et al., 2010). However, the atmospheric concentration of SOA is determined by emissions of volatile organic compounds from terrestrial ecosystems, and changes in temperature, humidity, precipitation, causing complex non-linear interactions under warmer or cooler climate regimes. Current un-

- <sup>5</sup> derstanding suggests increased SOA loading in the future may represent a negative climate feedback (Carslaw et al., 2010) that could decrease ESS to a CO<sub>2</sub> doubling. In terms of marine biogenic aerosols, Kump and Pollard (2008) obtained dramatic results from prescribed reductions in marine aerosol production by phytoplankton in the Cretaceous. Assuming thermal stress in the Cretaceous oceans reduced dimethlysul-
- fide production by phytoplankton, these idealized calculations led to a reduced abundance of CCN and less extensive cloud cover. Whether such assumptions are justified for past glacial and non-glacial climate states remains to be investigated. Nevertheless, as a result of decreased cloud cover reducing the reflection of incoming solar energy, polar temperatures rose dramatically by 10–15 °C (Kump and Pollard, 2008). Clearly,
- the properties of aerosols leading to cloud formation are an important and neglected aspect of global climate model assessment of ESS both for present-day and past climates. This issue is highlighted by recent work suggesting that cloud parameterization schemes in climate models may be tuned to a modern "dirty" atmosphere, giving an unrepresentatively high abundance of CCN compared to that expected in a pristine
- pre-industrial atmosphere (Kiehl, 2009). More appropriate constraints on CCN could therefore exert profound effects on climate simulations of continental interiors of past warm climate intervals (Kiehl, 2009). Unfortunately, our level of scientific understanding for all of these "known unknowns" is very low. This necessarily limits confidence in ESS estimates derived from the current generation of GCMs, and drawing conclusions

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<sup>25</sup> by comparison with empirical datasets (Table 1).

#### 5 Conclusions

A growing body of evidence supports an Earth-system climate sensitivity exceeding 6°C during glacial times and at least 3°C during non-glacial times. These estimates are likely higher than the abundant estimates of climate sensitivity that include only a

- subset of fast feedbacks (Charney climate sensitivity, ~3°C). The feedbacks responsible for the disparity in a non-glaciated world are not well known because they are (presumably) weak-to-absent today and thus difficult to identify with Earth observation programs. Nonetheless, geological evidence and GCMs implicate clouds and other trace greenhouse gases as possible forcings. As these missing feedbacks are clari-
- fied, they can be incorporated into standard runs of paleo-GCMs. Doing so may help solve long-standing model-data mismatches, in particular the estimation by models of too-cool high latitudes. More robust GCMs will not only improve our understanding of climate dynamics in ancient greenhouse times, but improve future climate predictions as we move towards a new greenhouse world.
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**Table 1.** Published estimates of Earth system sensitivity (ESS). All values are approximate. PETM = Paleocene-Eocene thermal maximum; MECO = Middle Eocene climatic optimum. Ma = million years ago. Park and Royer (2011) updates Royer et al. (2007).

Time period	ESS (°C)	Reference	
Large ice sheets present			
Pleistocene (last 400 000 yr)	6	Hansen et al. (2008)	
Pliocene (5–3 Ma)	>7	Pagani et al. (2010)	
Pliocene (5–3 Ma)	6 <sup>a</sup>	Budyko et al. (1987); Borzenkova (2003)	
Cenozoic glacial (34–0 Ma)	6	Hansen et al. (2008)	
Phanerozoic glacial (340-260, 40-0 Ma)	>6	Park and Royer (2011)	
Large ice sheets absent			
Late Eocene (35 Ma)	High	Kiehl (2011)	
MECO (40 Ma)	2–5	Bijl et al. (2010)	
Early Eocene (55 Ma)	2.4 <sup>a,b</sup>	Covey et al. (1996)	
PETM (55.5 Ma)	4	Higgins and Schrag (2006)	
PETM (55.5 Ma)	High	Pagani et al. (2006)	
PETM (55.5 Ma)	High	Zeebe et al. (2009)	
Cretaceous (100 Ma)	3.4 <sup>a,b</sup>	Hoffert and Covey (1992)	
Cretaceous-early Paleogene (110-45 Ma)	3.7 <sup>a</sup>	Budyko et al. (1987); Borzenkova (2003)	
Phanerozoic non-glacial (420-340, 260-40 Ma)	>3	Park and Royer (2011)	

<sup>a</sup> Recalculated treating changes in albedo as part of the response.

 $^{\rm b}$  Recalculated assuming 3.7 W  ${\rm m}^{-2}$  for the radiative forcing of doubled CO\_2 (IPCC, 2007).

Table 2.  $CO_2$  and temperature records used in study.

Climate record	References
CO <sub>2</sub> -stomata	Kürschner et al. (2001); Royer et al. (2001b); Beerling et al. (2002, 2009b); Greenwood et al. (2003); Royer (2003); Haworth et al. (2005); Passalia (2009); Retallack (2009); Quan et al. (2010); Smith et al. (2010)
$CO_2$ -phytoplankton $CO_2$ -liverworts $CO_2$ -nahcolite Temp-tropical $\delta^{18}O$	Freeman and Hayes (1992) Fletcher et al. (2008) Lowenstein and Demicco (2006)
	Wilson and Opdyke (1996); Pearson et al. (2001, 2007); Wilson and Norris (2001); Norris et al. (2002); Wilson et al. (2002); Moriya et al. (2007); Pucéat et al. (2007); Bornemann et al. (2008)
Temp-tropical Mg/Ca Temp-tropical TEX <sub>86</sub>	Tripati et al. (2003); Bice et al. (2006); Sexton et al. (2006) Schouten et al. (2003); Dumitrescu et al. (2006); Forster et al. (2007a,b); Pearson et al. (2007); Wagner et al. (2008)
Temp-benthic $\delta^{18}$ O	Cramer et al. (2009)





Fig. 1. See caption on next page.

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**Fig. 1.** Atmospheric CO<sub>2</sub>, temperature, and Earth system sensitivity (ESS) during the Cretaceous and early Paleogene. **(a)** Atmospheric CO<sub>2</sub> from multiple proxy approaches. Estimates with unbounded upper limits are noted with arrows. The nahcolite estimate has a flat probability (equal likelihood) between 1125 and 2985 ppm, but

- <sup>5</sup> this range will likely be revised downward following new mineral equilibria experiments (Jagniecki et al., 2010). See Methods and Table 2 for data sources. **(b)** Tropical sea surface temperature (SST) and **(c)** benthic  $\delta^{18}$ O records (see Table 2 for sources). Minimum global mean surface temperature is expressed relative to the pre-industrial (see Methods for details of calculation). The red and blue lines correspond to an ESS
- of 6 °C and 3 °C, respectively, as calculated from the "max paleo-CO<sub>2</sub>" line in panel a and a pre-industrial baseline of 280 ppm. The orange lines represent an ESS of 6 °C up to the point of ice sheet decay (assumed to be triggered at 560 ppm CO<sub>2</sub>, or one doubling; DeConto and Pollard, 2003; Pollard and DeConto, 2005; Royer, 2006; DeConto et al., 2008; Pearson et al., 2009), then 3 °C thereafter in an ice-free world.
- <sup>15</sup> The dashed lines represent an ESS of 3 °C and a constant 2000 ppm CO<sub>2</sub>. The blue band in panel (b) is the range of pre-industrial tropical SST (27–29 °C). (d) Calculation of ESS from the "max paleo-CO<sub>2</sub>" line in panel a and temperature data in panels (b) and (c), after correcting for changes in solar luminosity. Each data point is a 10 million year mean; errors are  $\pm 1\sigma$ . Time steps represented by one data point are not shown. <sup>20</sup> Dashed line is an ESS reference of 3 °C.

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Notice the sensitivity (°C per CO<sub>2</sub> doubling)



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