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Geologic constraints on earth system sensitivity to CO₂ during the Cretaceous and early Paleogene

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211

Abstract

Earth system sensitivity (ESS) is the long-term ($>10^3$ yr) equilibrium temperature response to doubled CO₂. ESS has climate policy implications because global temperatures are not expected to decline appreciably for at least 10^3 yr, even if anthropogenic greenhouse-gas emissions drop to zero. We report quantitative ESS estimates of 3°C or higher for much of the Cretaceous and early Paleogene based on paleo-reconstructions of CO₂ and temperature. These estimates are generally higher than climate sensitivities simulated from global climate models for the same ancient periods ($\sim 3^\circ\text{C}$). We conclude that climate models do not capture the full suite of positive climate feedbacks during greenhouse worlds. These absent feedbacks are probably related to clouds, trace greenhouse gases, seasonal snow cover, and/or vegetation, especially in polar regions. Continued warming in the coming decades as anthropogenic greenhouse gases accumulate in the atmosphere ensures that characterizing and quantifying these positive climate feedbacks will become a scientific challenge of increasing priority.

1 Introduction

Climate sensitivity is often defined as the change in global mean surface temperature for every doubling of atmospheric CO₂ (e.g., IPCC, 2007). In a simple blackbody system, forcing associated with CO₂ doubling (3.7 W m^{-2}) causes an $\sim 1.2^\circ\text{C}$ warming (Soden and Held, 2006). However, feedbacks such as changes in atmospheric water vapor content and cloud distributions amplify or dampen the temperature effect associated with CO₂. Climate sensitivity (CS) can therefore be defined as

$$\text{CS} = \frac{1.2^\circ\text{C}}{1 - f} \quad (1)$$

212

Because the preceding analyses are normalized to pre-industrial conditions, it is necessary to consider additional effects of solar luminosity, continental position, and biological evolution, which impact temperature independently of CO₂. We account for the increase in solar luminosity over time (Gough, 1981) using a conversion factor of 0.8 °C W⁻¹ (Hansen et al., 2005); at 125 Ma, for example, the correction for a reference line is 1.5 °C (note overall positive slope of reference lines in Fig. 1b–c). This correction is probably a minimum because it excludes the associated non-Charney feedback factors (f_{NC}).

Quantifying the impact of paleogeography on global temperatures is more uncertain. GCMs configured to the Cretaceous and early Paleogene, but with present-day luminosity, predict a warming of 0–2.8 °C relative to present-day control runs (Barron et al., 1993; Sloan and Rea, 1995; Heinemann et al., 2009; Dunkley Jones et al., 2010). However, these models exclude ice sheets, making direct comparisons to the present-day difficult, unless changing geography alone is sufficient to melt all ice sheets. Bice et al. (2000) varied only geography for a pair of simulations at 55 and 15 Ma (ice and greenhouse gas concentrations held constant) and found little difference in global temperature (<1 °C). Similarly, Donnadieu et al. (2006) performed simulations for the early and late Cretaceous and predicted a 3.8 °C warmer late Cretaceous. Changes in vegetation due to continental configuration can also impact climate. Vegetation models dynamically-coupled to climate models for the Cretaceous and Cenozoic predict a warming of ~2 °C over geographically-equivalent simulations with either no vegetation or a present-day vegetation distribution (Dutton and Barron, 1997; Otto-Bliesner and Upchurch, 1997). Because the paleovegetation schemes were prescribed based on fossil evidence, which in turn reflect a combination of geographic, evolutionary, and greenhouse-gas forcings, we consider 2 °C to represent a maximum temperature effect. In sum, the impact of geography, including linked vegetation feedback, is poorly constrained and as a result we exclude it from our calculations. If the effect is <3 °C, as seems likely from the preceding discussion, then our main conclusions remain unchanged.

219

3 Results

According to our new compilation of proxy data, atmospheric CO₂ declined from 600–1000 ppm at ~120 Ma to 300–700 ppm at 58 Ma, followed by a spike to near 2000 ppm at ~50 Ma (Fig. 1a). Both global temperature reconstructions follow the same pattern (Fig. 1b–c), highlighting a first-order link between CO₂ and temperature. Both benthic and tropical SST approaches to estimating ESS are broadly complementary, with most of the Cretaceous and early Paleogene record yielding ESS estimates of at least 3 °C (Fig. 1b–d). During the early Late Cretaceous (95–85 Ma), ESS might have exceeded 6 °C based on limited CO₂ data (Fig. 1d).

We consider a minimum ESS of 3 °C robust for several reasons. First, CO₂ appears internally consistent across methods, suggesting no strong methodological biases. However, a bias is present in the tropical SST data set, with TEX₈₆ temperatures typically being the warmest, followed by Mg/Ca and then by $\delta^{18}O$ (Fig. 1b). The warm TEX₈₆-based temperatures may be related to calibration issues (Sluijs et al., 2011). Because of this methodological bias and because there are far fewer tropical data than benthic $\delta^{18}O$ data, we place more confidence in ESS estimates derived from deep-water temperature reconstructions (Fig. 1c).

Second, given the uncertainties in the CO₂ estimates (Fig. 1a), it could be argued that our “max paleo-CO₂” line is too low. However, even if CO₂ was consistently high (2000 ppm), ESS would still exceed 3 °C for much of the interval (dotted lines in Fig. 1b–c).

Third, because our results describe the mean ESS between the pre-industrial and a given geologic time slice, they could be biased by high ESS during glacial times (34–0 Ma). But even if glacial ESS was as high as 6 °C (Table 1), non-glacial ESS probably exceeded 3 °C (orange lines in Fig. 1b–c).

ESS is not static over time (Fig. 1d). This variability impacts our calculations because they are based on comparing an ancient time slice to pre-industrial conditions. Thus, the calculations are buffered in the sense that they are biased towards the mean

220

methane-producing wetland environments were more extensive in the past, particularly in the early Eocene, with the potential steady-state flux sufficient to raise atmospheric concentrations to several thousand parts per billion (Beerling et al., 2009a). This, in turn, could also lead to higher stratospheric water vapor and polar stratospheric clouds (PSCs), due to oxidation, and result in surface warming, but the associated uncertainty here is high because the very large changes in PSCs are prescribed rather than evolved in a self-consistent manner from the models (Sloan et al., 1992; Kirk-Davidoff et al., 2002). Three-dimensional “earth system” modeling studies (latitude × longitude × height) characterizing ecosystem-chemistry climate interactions in the early Eocene (55 Ma) and late Cretaceous (90 Ma) greenhouse worlds independently indicate the clear potential for sustained elevated concentrations of methane (4–5 × pre-industrial levels) and other trace GHGs at these times (Beerling et al., 2011). Higher concentrations of trace GHGs exert a global planetary heating of 2 °C amplified by lower surface albedo feedbacks in the high latitudes to >6 °C (Beerling et al., 2011). Experimental work indicates elevated atmospheric CO₂ concentration can affect methane emissions from wetland systems (Meronigal and Schlesinger, 1997; Saarnio et al., 2000; Ellis et al., 2009). Hence, there should be feedback between atmospheric CO₂ and CH₄, which has strong relevance for understanding ESS. This expectation is supported by 3-D earth system simulations showing a similar CO₂ dependency of wetland CH₄ fluxes that increased ESS (2 × CO₂ to 4 × CO₂) by 1–4 °C during the late Cretaceous and early Eocene (Beerling et al., 2011).

Inclusion of ecosystem-atmospheric chemistry interactions into pre-Pleistocene GCMs highlights a further neglected biological feedback involving the effects of biogenic emissions of volatile organic compounds which can partition into the solid phase to form secondary organic aerosols (SOA) (Carslaw et al., 2010). Secondary organic aerosols affect the radiative balance of the atmosphere directly by scattering incoming solar radiation and indirectly through the formation cloud condensation nuclei (CCN) (Carslaw et al., 2010). The climate feedbacks of the ecosystem-aerosol interactions have yet to be investigated for the past or the present-day but could be important

223

contributory factors influencing ESS (Arneth et al., 2010). However, the atmospheric concentration of SOA is determined by emissions of volatile organic compounds from terrestrial ecosystems, and changes in temperature, humidity, precipitation, causing complex non-linear interactions under warmer or cooler climate regimes. Current understanding suggests increased SOA loading in the future may represent a negative climate feedback (Carslaw et al., 2010) that could decrease ESS to a CO₂ doubling.

In terms of marine biogenic aerosols, Kump and Pollard (2008) obtained dramatic results from prescribed reductions in marine aerosol production by phytoplankton in the Cretaceous. Assuming thermal stress in the Cretaceous oceans reduced dimethylsulfide production by phytoplankton, these idealized calculations led to a reduced abundance of CCN and less extensive cloud cover. Whether such assumptions are justified for past glacial and non-glacial climate states remains to be investigated. Nevertheless, as a result of decreased cloud cover reducing the reflection of incoming solar energy, polar temperatures rose dramatically by 10–15 °C (Kump and Pollard, 2008). Clearly, the properties of aerosols leading to cloud formation are an important and neglected aspect of global climate model assessment of ESS both for present-day and past climates. This issue is highlighted by recent work suggesting that cloud parameterization schemes in climate models may be tuned to a modern “dirty” atmosphere, giving an unrepresentatively high abundance of CCN compared to that expected in a pristine pre-industrial atmosphere (Kiehl, 2009). More appropriate constraints on CCN could therefore exert profound effects on climate simulations of continental interiors of past warm climate intervals (Kiehl, 2009). Unfortunately, our level of scientific understanding for all of these “known unknowns” is very low. This necessarily limits confidence in ESS estimates derived from the current generation of GCMs, and drawing conclusions by comparison with empirical datasets (Table 1).

224

5 Conclusions

A growing body of evidence supports an Earth-system climate sensitivity exceeding 6 °C during glacial times and at least 3 °C during non-glacial times. These estimates are likely higher than the abundant estimates of climate sensitivity that include only a subset of fast feedbacks (Charney climate sensitivity, ~3 °C). The feedbacks responsible for the disparity in a non-glaciated world are not well known because they are (presumably) weak-to-absent today and thus difficult to identify with Earth observation programs. Nonetheless, geological evidence and GCMs implicate clouds and other trace greenhouse gases as possible forcings. As these missing feedbacks are clarified, they can be incorporated into standard runs of paleo-GCMs. Doing so may help solve long-standing model-data mismatches, in particular the estimation by models of too-cool high latitudes. More robust GCMs will not only improve our understanding of climate dynamics in ancient greenhouse times, but improve future climate predictions as we move towards a new greenhouse world.

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235

Table 1. Published estimates of Earth system sensitivity (ESS). All values are approximate. PETM = Paleocene-Eocene thermal maximum; MECO = Middle Eocene climatic optimum. Ma = million years ago. Park and Royer (2011) updates Royer et al. (2007).

Time period	ESS (°C)	Reference
Large ice sheets present		
Pleistocene (last 400 000 yr)	6	Hansen et al. (2008)
Pliocene (5–3 Ma)	>7	Pagani et al. (2010)
Pliocene (5–3 Ma)	6 ^a	Budyko et al. (1987); Borzenkova (2003)
Cenozoic glacial (34–0 Ma)	6	Hansen et al. (2008)
Phanerozoic glacial (340–260, 40–0 Ma)	>6	Park and Royer (2011)
Large ice sheets absent		
Late Eocene (35 Ma)	High	Kiehl (2011)
MECO (40 Ma)	2–5	Bijl et al. (2010)
Early Eocene (55 Ma)	2.4 ^{a,b}	Covey et al. (1996)
PETM (55.5 Ma)	4	Higgins and Schrag (2006)
PETM (55.5 Ma)	High	Pagani et al. (2006)
PETM (55.5 Ma)	High	Zeebe et al. (2009)
Cretaceous (100 Ma)	3.4 ^{a,b}	Hoffert and Covey (1992)
Cretaceous-early Paleogene (110–45 Ma)	3.7 ^a	Budyko et al. (1987); Borzenkova (2003)
Phanerozoic non-glacial (420–340, 260–40 Ma)	>3	Park and Royer (2011)

^a Recalculated treating changes in albedo as part of the response.

^b Recalculated assuming 3.7 W m^{-2} for the radiative forcing of doubled CO_2 (IPCC, 2007).

236

Fig. 1. Atmospheric CO₂, temperature, and Earth system sensitivity (ESS) during the Cretaceous and early Paleogene. **(a)** Atmospheric CO₂ from multiple proxy approaches. Estimates with unbounded upper limits are noted with arrows. The nahcolite estimate has a flat probability (equal likelihood) between 1125 and 2985 ppm, but this range will likely be revised downward following new mineral equilibria experiments (Jagniecki et al., 2010). See Methods and Table 2 for data sources. **(b)** Tropical sea surface temperature (SST) and **(c)** benthic δ¹⁸O records (see Table 2 for sources). Minimum global mean surface temperature is expressed relative to the pre-industrial (see Methods for details of calculation). The red and blue lines correspond to an ESS of 6 °C and 3 °C, respectively, as calculated from the “max paleo-CO₂” line in panel a and a pre-industrial baseline of 280 ppm. The orange lines represent an ESS of 6 °C up to the point of ice sheet decay (assumed to be triggered at 560 ppm CO₂, or one doubling; DeConto and Pollard, 2003; Pollard and DeConto, 2005; Royer, 2006; DeConto et al., 2008; Pearson et al., 2009), then 3 °C thereafter in an ice-free world. The dashed lines represent an ESS of 3 °C and a constant 2000 ppm CO₂. The blue band in panel **(b)** is the range of pre-industrial tropical SST (27–29 °C). **(d)** Calculation of ESS from the “max paleo-CO₂” line in panel a and temperature data in panels **(b)** and **(c)**, after correcting for changes in solar luminosity. Each data point is a 10 million year mean; errors are ±1σ. Time steps represented by one data point are not shown. Dashed line is an ESS reference of 3 °C.

239

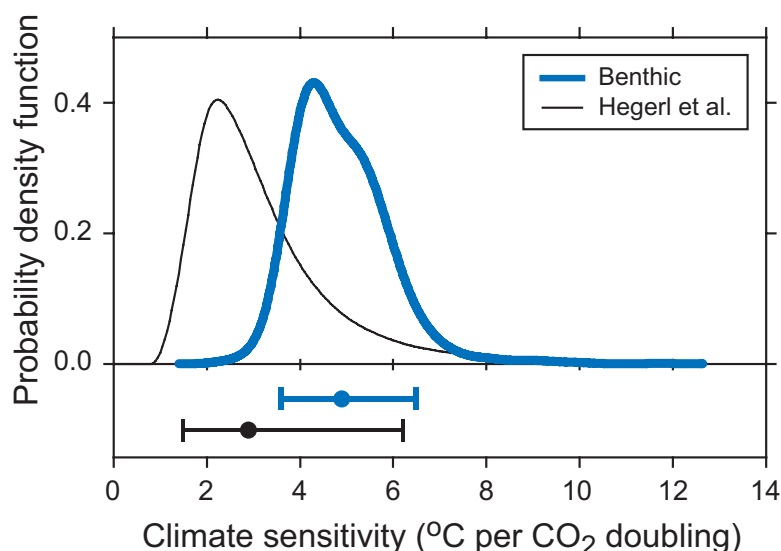


Fig. 2. Probability density function of the raw benthic data from Fig. 1d. Function was computed by kernel density estimation (Silverman, 1986). The Hegerl et al. (2006) function is a summary of Charney sensitivity based on historical records, proxy records over the last 1000 years, and model simulations. Circles and lines at bottom refer to means and 5–95% confidence limits.

240